# Package: haplo.stats (via r-universe)

August 31, 2024

| Version 1.9.5.1  |
|--|
| Date 2023-12-11  |
|  |
| <b>Title</b> Statistical Analysis of Haplotypes with Traits and Covariates when Linkage Phase is Ambiguous   |
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| Description Routines for the analysis of indirectly measured haplotypes. The statistical methods assume that all subjects are unrelated and that haplotypes are ambiguous (due to unknown linkage phase of the genetic markers). The main functions are: haplo.em(), haplo.glm(), haplo.score(), and haplo.power(); all of which have detailed examples in the vignette. |
| License GPL (>= 2)   |
| <b>Depends</b> R (>= 4.1.0), methods, stats, graphics, arsenal   |
| Imports rms  |
| Suggests R.rsp, testthat   |
| VignetteBuilder R.rsp  |
| <pre>URL https://analytictools.mayo.edu/research/haplo-stats/</pre>  |
| NeedsCompilation yes   |
| <b>Date/Publication</b> 2024-02-02 09:41:30 UTC  |
| Repository https://sinnweja.r-universe.dev   |
| RemoteUrl https://github.com/cran/haplo.stats  |
| RemoteRef HEAD   |
| RemoteSha c51848a3a7f3039cabb1ab1c2cf06e8d2aeee7ec   |
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#### **Description**

Perform an analysis of variance between two haplo.glm model fits using the deviances from the fitted objects

## Usage

```
## S3 method for class 'haplo.glm'
anova(object, ..., dispersion=NULL, test="Chisq")
```

## **Arguments**

A haplo.glm or glm object object More model fits to compare against the fit in the first argument the dispersion parameter for the fitting family. By default it is obtained from the dispersion object(s) character string for the test of model comparison. Only "Chisq" supported for test haplo.glm objects

#### **Details**

Uses print.anova for the displayed result

#### Value

A data frame of the anova class, with these columns: Df, Deviance, Resid.Df, Resid.Dev, p-value

# See Also

```
haplo.glm
```

# **Examples**

```
data(hla.demo)
geno <- as.matrix(hla.demo[,c(17,18,21:24)])</pre>
keep <- !apply(is.na(geno) | geno==0, 1, any) # SKIP THESE THREE LINES
hla.demo <- hla.demo[keep,]</pre>
                                                  # IN AN ANALYSIS
geno <- geno[keep,]</pre>
attach(hla.demo)
label <-c("DQB","DRB","B")</pre>
y <- hla.demo$resp
```

chisq.power

chisq.power

Power and sample size for the chi-square distribution

#### **Description**

Power and sample size for the chi-square distribution given non-centrality, degrees of freedom, alpha, N (for chisq.power), and power (for chisq.sample.size)

#### Usage

```
chisq.power(n, nc, df, alpha)
chisq.power.dif(n, nc, df, alpha, power)
chisq.sample.size(nc, df=df, alpha, power, lower=20, upper=100000)
```

upper bound for search space for sample size

# **Arguments** n

upper

| ••    | sample size (for power)                      |
|-------|--|
| nc    | non-centrality parameter                     |
| df    | degrees of freedom                           |
| alpha | type-I error rate                            |
| power | desired power (for sample size)              |
| lower | lower bound for search space for sample size |

sample size (for power)

dglm.fit 5

# Value

power, the difference in power from target power, and sample size, respectively for the three different functions

| dglm.fit | Internal functions for the HaploStats package. See the help file for the                  |
|----------|---|
|          | main functions (haplo.em, haplo.score, haplo.glm) for details on some of these functions. |

# **Description**

Internal function for the HaploStats package

| f.power | Power and sample size for the F distribution |  |
|---------|--|--|
|         |  |  |

# Description

Power and sample size for the F distribution given non-centrality, degrees of freedom, alpha, N (for f.power), and power (for f.sample.size)

# Usage

```
f.power(n, nc, df1, alpha)
f.power.dif(n, nc, df1, alpha, power)
f.sample.size(nc, df1, alpha, power, lower=20, upper=10000)
```

# Arguments

| n     | sample size   |
|-------|---|
| nc    | non-centrality parameter                              |
| df1   | degrees of freedom for numerator of f distribution    |
| alpha | type-I error  |
| power | desired power (for sample size)                       |
| lower | lower limit for search space for sample size solution |
| upper | upper limit for search space for sample size solution |

#### Value

power, the difference in power from target power, and sample size, respectively for the three functions, assuming an F distribution for the test statistic

6 find.haplo.beta.qt

| find.haplo.beta.qt | Find beta coefficients for risk haplotypes, for specified r2 |
|--------------------|--|
| find.haplo.beta.qt | Find beta coefficients for risk haplotypes, for specified r2 |

# Description

Find betas for risk haplotypes and intercept (beta for base.index haplotype) with a given r2

# Usage

```
find.haplo.beta.qt(haplo, haplo.freq, base.index, haplo.risk, r2, y.mu=0, y.var=1)
find.beta.qt.phase.known(beta.size, haplo.risk, base.index, haplo,
haplo.freq, r2, y.mu, y.var)
find.intercept.qt.phase.known(beta.no.intercept, base.index, haplo, haplo.freq, y.mu)
```

# Arguments

| haplo             | matrix of haplotypes, with rows the different haplotypes and columns the alleles of the haplotypes. For H haplotypes of L loci, haplo has dimension H x L.                   |  |  |  |  |
|-------------------|--|--|--|--|--|
| haplo.freq        | vector of length H for the population haplotype frequencies (corresponding to the rows of haplo)   |  |  |  |  |
| base.index        | integer index of the haplotype considered to be the base-line for logistic regression (index between 1 and H); often, the most common haplotype is chosen for the base-line. |  |  |  |  |
| haplo.risk        | vector of relative risks for haplotypes  |  |  |  |  |
| r2                | correlation coefficient  |  |  |  |  |
| y.mu              | mean of y, a quantitative trait  |  |  |  |  |
| y.var             | variance of y, a quantitative trait  |  |  |  |  |
| beta.size         | beta values for risk haplotypes in find.beta.qt.phase.known  |  |  |  |  |
| beta.no.intercept |  |  |  |  |  |
|                   | beta vector for haplotypes for quantitative trait, excluding the beta for intercept  |  |  |  |  |

# Value

beta estimates for haplotypes or intercept

fitted.haplo.glm 7

fitted.haplo.glm

Fitted values from haplo.glm fit

#### **Description**

The fitted values for each person, collapsed over their expanded fitted values due to their multiple possible haplotype pairs

# Usage

```
## S3 method for class 'haplo.glm'
fitted(object, ...)
```

### **Arguments**

object A haplo.glm object

... Optional arguments for the method

#### **Details**

Many of the subjects in a haplo.glm fit are expanded in the model matrix with weights used to reflect the posterior probability of the subject's haplotype pairs given their genotype. The working fitted values within the fitted object are from this expanded model matrix, and the fitted values from this method are calculated from the weighted fitted value for the subject across all their haplotype pairs.

# Value

vector of fitted values

## See Also

```
haplo.glm
```

geno.count.pairs

Counts of Total Haplotype Pairs Produced by Genotypes

#### **Description**

Provide a count of all possible haplotype pairs for each subject, according to the phenotypes in the rows of the geno matrix. The count for each row includes the count for complete phenotypes, as well as possible haplotype pairs for phenotypes where there are missing alleles at any of the loci.

## Usage

```
geno.count.pairs(geno)
```

8 geno1to2

#### **Arguments**

geno

Matrix of alleles, such that each locus has a pair of adjacent columns of alleles, and the order of columns corresponds to the order of loci on a chromosome. If there are K loci, then geno has 2\*K columns. Rows represent all observed alleles for each subject, their phenotype.

#### **Details**

When a subject has no missing alleles, and has h heterozygous sites, there are 2\*\*(h-1) haplotype pairs that are possible ('\*\*'=power). For loci with missing alleles, we consider all possible pairs of alleles at those loci. Suppose that there are M loci with missing alleles, and let the vector V have values 1 or 0 according to whether these loci are imputed to be heterozygous or homozygous, respectively. The length of V is M. The total number of possible states of V is 2\*\*M. Suppose that the vector W, also of length M, provides a count of the number of possible heterozygous/homozygous states at the loci with missing data. For example, if one allele is missing, and there are K possible alleles at that locus, then there can be one homozygous and (K-1) heterozygous genotypes. If two alleles are missing, there can be K homozygous and K(K-1)/2 heterozygous genotypes. Suppose the function H(h+V) counts the total number of heterozygous sites among the loci without missing data (of which h are heterozygous) and the imputed loci (represented by the vector V). Then, the total number of possible pairs of haplotypes can be respresented as SUM(W\*H(h+V)), where the sum is over all possible values for the vector V.

#### Value

Vector where each element gives a count of the number haplotype pairs that are consistent with a subject's phenotype, where a phenotype may include 0, 1, or 2 missing alleles at any locus.

## See Also

haplo.em, summaryGeno

#### **Examples**

```
data(hla.demo)
genohla <- hla.demo[,c(17,18,21:24)]
geno <- setupGeno(genohla)
count.geno <- geno.count.pairs(geno)
print(count.geno)</pre>
```

geno1to2

convert genotype matrix from 1-column 2-column

#### Description

convert 1-column genotype matrix to 2-column genotype matrix, converting from a minor allele count (0,1,2) to (1/1, 1/2, 2/2) where 2 is the minor allele. (not supported for x-linked markers)

get.hapPair 9

#### Usage

```
geno1to2(geno, locus.label=NULL)
```

#### **Arguments**

geno 1-column representation of genotype matrix for 2-allele loci. Values are 0, 1, or

2, usually the count of minor alleles

locus.label Vector of labels for loci, If a locus name is "A", its columns will be "A.1" and

"A.2"

#### Value

a 2-column genotype matrix

# **Examples**

get.hapPair

Get a list of objects for haplotype pairs

# **Description**

Get a list of objects for modeling haplotype pairs from a set of unique haplotypes and their frequencies, given the baseline haplotype

## Usage

```
get.hapPair(haplo, haplo.freq, base.index)
```

## **Arguments**

haplo matrix of haplotypes, with rows the different haplotypes and columns the alleles

of the haplotypes. For H haplotypes of L loci, haplo has dimension H x L.

haplo.freq vector of length H for the population haplotype frequencies (corresponding to

the rows of haplo)

base.index integer index of the haplotype considered to be the base-line for logistic regres-

sion (index between 1 and H); often, the most common haplotype is chosen for

the base-line.

10 get.hapPair

#### Value

list with components:

p.g Genotype probability under Hardy-Weinberg Equilibrium, where the genotype is the haplotype pair

x.haplo Design matrix for all pairs of haplotypes, excluding the baseline haplotype. Effects are coded to an additive effect for the haplotypes.

haplo.indx two-column matrix containing the indices for the haplotypes in x.haplo. The indices are the row of the haplotype in haplo.

# **Examples**

```
haplo <- rbind(</pre>
                                                                                                                      2,
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                                                                                                                                                                 1))
                                                    c(
                                                                            2,
dimnames(haplo)[[2]] <- paste("loc", 1:ncol(haplo), sep=".")</pre>
haplo <- data.frame(haplo)</pre>
haplo.freq <- c(0.170020121, 0.162977867, 0.123742455, 0.117706237, 0.097585513, 0.084507042,
                                         0.045271630, 0.039235412, 0.032193159, 0.019114688, 0.019114688, 0.013078471,
                                          0.013078471, \ 0.013078471, \ 0.013078471, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.006036217, \ 0.0
                                                        0.006036217, 0.006036217, 0.006036217)
hPair <- get.hapPair(haplo, haplo.freq, base.index=1)
names(hPair)
dim(hPair$x.haplo)
```

Ginv 11

Ginv

Compute Generalized Inverse of Input Matrix

#### **Description**

Singular value decomposition (svd) is used to compute a generalized inverse of input matrix.

## Usage

```
Ginv(x, eps=1e-6)
```

## **Arguments**

x A matrix.

eps minimum cutoff for singular values in svd of x

#### **Details**

The svd function uses the LAPACK standard library to compute the singular values of the input matrix, and the rank of the matrix is determined by the number of singular values that are at least as large as max(svd)\*eps, where eps is a small value. For S-PLUS, the Matrix library is required (Ginv loads Matrix if not already done so).

## Value

List with components:

Ginv Generalized inverse of x.

rank Rank of matrix x.

# References

Press WH, Teukolsky SA, Vetterling WT, Flannery BP. Numerical recipes in C. The art of scientific computing. 2nd ed. Cambridge University Press, Cambridge.1992. page 61.

Anderson, E., et al. (1994). LAPACK User's Guide, 2nd edition, SIAM, Philadelphia.

#### See Also

svd

## **Examples**

```
# for matrix x, extract the generalized inverse and
# rank of x as follows
    x <- matrix(c(1,2,1,2,3,2),ncol=3)
    save <- Ginv(x)
    ginv.x <- save$Ginv
    rank.x <- save$rank</pre>
```

haplo.cc

| hanla aa | Haplotype Association Analysis in a Case-Control design |
|----------|---|
| haplo.cc | nadioivde Association Anaivsis in a Case-Control aesign |
|          |   |

# Description

Combine results from haplo.score, haplo.group, and haplo.glm for case-control study designs. Analyze the association between the binary (case-control) trait and the haplotypes relevant to the unrelated individuals' genotypes.

# Usage

#### **Arguments**

| y           | Vector of trait values, must be 1 for cases and 0 for controls.  |
|-------------|--|
| geno        | Matrix of alleles, such that each locus has a pair of adjacent columns of alleles, and the order of columns corresponds to the order of loci on a chromosome. If there are K loci, then $ncol(geno) = 2*K$ . Rows represent alleles for each subject.  |
| x.adj       | Matrix of non-genetic covariates used to adjust the score statistics. Note that intercept should not be included, as it will be added in this function.  |
| ci.prob     | Probability level for confidence interval on the Odds Ratios of each haplotype to span the true value.   |
| locus.label | Vector of labels for loci, of length K (see definition of geno matrix)   |
| miss.val    | Vector of codes for missing values of alleles  |
| weights     | the weights for observations (rows of the data frame). By default, all observations are weighted equally. One use is to correct for over-sampling of cases in a case-control sample.   |
| eps.svd     | epsilon value for singular value cutoff; to be used in the generalized inverse calculation on the variance matrix of the score vector. The degrees of freedom for the global score test is 1 less than the number of haplotypes that are scored (k-1). The degrees of freedom is calculated from the rank of the variance matrix for the score vector. In some instances of numeric instability, the singular value decomposition indicates full rank (k). One remedy has been to give a larger epsilon value. |
| simulate    | Logical: if [F]alse, no empirical p-values are computed; if [T]rue, simulations are performed within haplo.score. Specific simulation parameters can be controlled in the sim.control parameter list.  |
| sim.control | A list of control parameters to determine how simulations are performed for simulated p-values. The list is created by the function score.sim.control and the default values of this function can be changed as desired. See score.sim.control for details.  |

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control A list of control parameters for managing the execution of haplo.cc. The list is

created by the function haplo.glm.control, which also manages control parame-

ters for the execution of haplo.em.

#### **Details**

All function calls within haplo.cc are for the analysis of association between haplotypes and the case-control status (binomial trait). No additional covariates may be modeled with this function. Odd Ratios are in reference to the baseline haplotype. Odds Ratios will change if a different baseline is chosen using haplo.glm.control.

#### Value

A list including the haplo.score object (score.lst), vector of subject counts by case and control group (group.count), haplo.glm object (fit.lst), confidence interval probability (ci.prob), and a data frame (cc.df) with the following components:

| haplotypes | The first K columns contain the haplotypes used in the analysis.  |
|------------|---|
| Hap-Score  | Score statistic for association of haplotype with the binary trait.   |
| p-val      | P-value for the haplotype score statistic, based on a chi-square distribution with 1 degree of freedom.   |
| sim.p.val  | Vector of p-values for score.haplo, based on simulations in haplo.score (omitted when simulations not performed). P-value of score.global based on simulations (set equal to NA when simulate=F). |
| pool.hf    | Estimated haplotype frequency for cases and controls pooled together.   |
| control.hf | Estimated haplotype frequency for control group subjects.   |
| case.hf    | Estimated haplotype frequency for case group subjects.  |
| glm.eff    | The haplo.glm function modeled the haplotype effects as: baseline (Base), additive haplotype effect (Eff), or rare haplotypes pooled into a single group (R).                                     |
| OR.lower   | Lower limit of the Odds Ratio Confidence Interval.  |
| OR         | Odds Ratio based on haplo.glm model estimated coefficient for the haplotype.  |
| OR.upper   | Upper limit of the Odds Ratio Confidence Interval.  |

# References

**Schaid et al** Schaid DJ, Rowland CM, Tines DE, Jacobson RM, Poland GA. Score tests for association of traits with haplotypes when linkage phase is ambiguous. Amer J Hum Genet. 70 (2002): 425-434.

**Lake et al** Lake S, LH, Silverman E, Weiss S, Laird N, Schaid DJ. Estimation and tests of haplotype-environment interaction when linkage phase is ambiguous. Human Heredity. 55 (2003): 56-65

#### See Also

haplo.em, haplo.score, haplo.group, haplo.score.merge, haplo.glm print.haplo.cc

14 haplo.design

#### **Examples**

```
# For a genotype matrix geno.test, case/control vector y.test
# The function call will be like this
# cc.test <- haplo.cc(y.test, geno.test, locus.label=locus.label, haplo.min.count=3, ci.prob=0.95)
#</pre>
```

| haplo.design | Build a design matrix for haplotypes |  |
|--------------|--------------------------------------|--|
|--------------|--------------------------------------|--|

# Description

Build a design matrix for haplotypes estimated from a haplo.em object.

# Usage

```
haplo.design(obj, haplo.effect="additive", hapcodes=NA, min.count=5, haplo.base=NA)
```

# **Arguments**

| obj          | an object created from haplo.em  |
|--------------|--|
| haplo.effect | The "effect" pattern of haplotypes on the response. This parameter determines the coding for scoring the haplotypes. Valid coding options for heterozygous and homozygous carriers of a haplotype are "additive" (1, 2, respectively), "dominant" (1,1, respectively), and "recessive" (0, 1, respectively). |
| hapcodes     | codes assigned in haplo.em, corresponding to the row numbers in the haplotypes matrix item in obj  |
| min.count    | The minimum number of estimated counts of the haplotype in the sample in order for a haplotype to be included in the design matrix.  |
| haplo.base   | code for which haplotype will be the reference group, or to be considered the baseline of a model. The code is the row number of the haplotypes matrix in obj. This haplotype is removed from the design matrix.   |

#### **Details**

First a matrix is made for the possible haplotypes for each person, coded for the haplo.effect, weighted by the posterior probability of those possible haplotypes per person, and then collapsed back to a single row per person.

# Value

Matrix of columns for haplotype effects. Column names are "hap.k" where k is the row number of the unique haplotypes within the haplo.em object's "haplotypes" item.

# See Also

haplo.em

haplo.em 15

# **Examples**

```
###------
### See the user manual for more complete examples
###------
data(hla.demo)
attach(hla.demo)
geno <- hla.demo[,c(17,18,21:24)]
label <-c("DQB","DRB","B")
keep <- !apply(is.na(geno) | geno==0, 1, any)
save.em.keep <- haplo.em(geno=geno[keep,], locus.label=label)
save.df <- haplo.design(save.em.keep, min.count=10)
dim(save.df)
names(save.df)
save.df[1:10,]</pre>
```

haplo.em

EM Computation of Haplotype Probabilities, with Progressive Insertion of Loci

# **Description**

For genetic marker phenotypes measured on unrelated subjects, with linkage phase unknown, compute maximum likelihood estimates of haplotype probabilities. Because linkage phase is unknown, there may be more than one pair of haplotypes that are consistent with the oberved marker phenotypes, so posterior probabilities of pairs of haplotypes for each subject are also computed. Unlike the usual EM which attempts to enumerate all possible pairs of haplotypes before iterating over the EM steps, this "progressive insertion" algorithm progressively inserts batches of loci into haplotypes of growing lengths, runs the EM steps, trims off pairs of haplotypes per subject when the posterior probability of the pair is below a specified threshold, and then continues these insertion, EM, and trimming steps until all loci are inserted into the haplotype. The user can choose the batch size. If the batch size is chosen to be all loci, and the threshold for trimming is set to 0, then this algorithm reduces to the usual EM algorithm.

#### Usage

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## Arguments

geno matrix of alleles, such that each locus has a pair of adjacent columns of alleles,

and the order of columns corresponds to the order of loci on a chromosome. If there are K loci, then ncol(geno) = 2\*K. Rows represent the alleles for each

subject.

locus.label vector of labels for loci.

miss.val vector of values that represent missing alleles in geno.

weight weights for observations (rows of geno matrix).

control list of control parameters. The default is constructed by the function haplo.em.control.

The default behavior of this function results in the following parameter settings: loci.insert.order=1:n.loci, insert.batch.size=min(4,n.loci), min.posterior= 0.0001, tol=0.00001, max.iter=500, random.start=0 (no random start), iseed=NULL (no saved seed to start random start), verbose=0 (no printout during EM itera-

tions). See haplo.em.control for more details.

#### **Details**

The basis of this progressive insertion algorithm is from the sofware snphap by David Clayton. Although some of the features and control parameters of this S-PLUS version are modeled after snphap, there are substantial differences, such as extension to allow for more than two alleles per locus, and some other nuances on how the alogrithm is implemented.

#### Value

list with components:

converge indicator of convergence of the EM algorithm (1 = converge, 0 = failed).

lnlike value of lnlike at last EM iteration (maximum lnlike if converged).

lnlike.noLD value of lnlike under the null of linkage equilibrium at all loci.

1r likelihood ratio statistic to test the final Inlike against the Inlike that assumes

complete linkage equilibrium among all loci (i.e., haplotype frequencies are

products of allele frequencies).

df.lr degrees of freedom for likelihood ratio statistic. The df for the unconstrained

final model is the number of non-zero haplotype frequencies minus 1, and the df for the null model of complete linkage equilibrium is the sum, over all loci, of (number of alleles - 1). The df for the lr statistic is df[unconstrained] - df[null]. This can result in negative df, if many haplotypes are estimated to have zero frequency, or if a large amount of trimming occurs, when using large values of

min.posterior in the list of control parameters.

hap.prob vector of mle's of haplotype probabilities. The ith element of hap.prob corre-

sponds to the ith row of haplotype.

locus.label vector of labels for loci, of length K (see definition of input values).

subj.id vector of id's for subjects used in the analysis, based on row number of input

geno matrix. If subjects are removed, then their id will be missing from subj.id.

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| rows.rem  | now defunct, but set equal to a vector of length 0, to be compatible with other functions that check for rows.rem.  |
|-----------|---|
| indx.subj | vector for row index of subjects after expanding to all possible pairs of haplo-<br>types for each person. If indx.subj=i, then i is the ith row of geno. If the ith<br>subject has n possible pairs of haplotypes that correspond to their marker geno-<br>type, then i is repeated n times. |
| nreps     | vector for the count of haplotype pairs that map to each subject's marker genotypes.  |
| max.pairs | vector of maximum number of pairs of haplotypes per subject that are consistent with their marker data in the matrix geno. The length of max.pairs = nrow(geno). This vector is computed by geno.count.pairs.   |
| hap1code  | vector of codes for each subject's first haplotype. The values in hap1code are the row numbers of the unique haplotypes in the returned matrix haplotype.   |
| hap2code  | similar to hap1code, but for each subject's second haplotype.   |
| post      | vector of posterior probabilities of pairs of haplotypes for a person, given their marker phenotypes.   |
| haplotype | matrix of unique haplotypes. Each row represents a unique haplotype, and the number of columns is the number of loci.   |
| control   | list of control parameters for algorithm. See haplo.em.control  |

## Note

Sorted order of haplotypes with character alleles is system-dependent, and can be controlled via the LC\_COLLATE locale environment variable. Different locale settings can cause results to be non-reproducible even when controlling the random seed.

#### See Also

```
setupGeno, haplo.em.control
```

# **Examples**

```
data(hla.demo)
attach(hla.demo)
geno <- hla.demo[,c(17,18,21:24)]
label <-c("DQB","DRB","B")
keep <- !apply(is.na(geno) | geno==0, 1, any)

save.em.keep <- haplo.em(geno=geno[keep,], locus.label=label)
# warning: output will not exactly match
print.haplo.em(save.em.keep)</pre>
```

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| haplo.em.control | Create the Control Parameters for the EM Computation of Haplotype |
|------------------|---|
|                  | Probabilities, with Progressive Insertion of Loci                 |

#### **Description**

Create a list of parameters that control the EM algorithm for estimating haplotype frequencies, based on progressive insertion of loci. Non-default parameters for the EM algorithm can be set as parameters passed to haplo.em.control.

#### Usage

#### **Arguments**

loci.insert.order

Numeric vector with specific order to insert the loci. If this value is NULL, the insert order will be in sequential order (1, 2, ..., No. Loci).

insert.batch.size

Number of loci to be inserted in a single batch.

min.posterior Minimum posterior probability of a haplotype pair, conditional on observed

marker genotypes. Posteriors below this minimum value will have their pair of haplotypes "trimmed" off the list of possible pairs. If all markers in low LD, we recommend using the default. If markers have at least moderate LD, can

increase this value to use less memory.

tol If the change in log-likelihood value between EM steps is less than the tolerance

(tol), it has converged.

max.iter Maximum number of iterations allowed for the EM algorithm before it stops and

prints an error. If the error is printed, double max.iter.

random. start If random.start = 0, then the initial starting values of the posteriors for the first

EM attempt will be based on assuming equal posterior probabilities (conditional on genotypes). If random.start = 1, then the initial starting values of the first EM attempt will be based on assuming a uniform distribution for the initial posterior

probabilities.

n. try

Number of times to try to maximize the lnlike by the EM algorithm. The first try

uses, as initial starting values for the posteriors, either equal values or uniform random variables, as determined by random.start. All subsequent tries will use random uniform values as initial starting values for the posterior probabilities.

iseed An integer or a saved copy of .Random.seed. This allows simulations to be

reproduced by using the same initial seed.

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max.haps.limit Maximum number of haplotypes for the input genotypes. It is used as the amount of memory to allocate in C for the progressive-insertion E-M steps. Within haplo.em, the first step is to try to allocate the sum of the result of geno.count.pairs(), if that exceeds max.haps.limit, start by allocating max.haps.limit. If that is exceeded in the progressive-insertions steps, the C function doubles the memory until it can no longer request more.

verbose

Logical, if TRUE, print procedural messages to the screen. If FALSE, do not print any messages.

# **Details**

The default is to use n.try = 10. If this takes too much time, it may be worthwhile to decrease n.try. Other tips for computing haplotype frequencies for a large number of loci, particularly if some have many alleles, is to decrease the batch size (insert.batch.size), increase the memory (max.haps.limit), and increase the probability of trimming off rare haplotypes at each insertion step (min.posterior).

#### Value

A list of the parameters passed to the function.

#### See Also

```
haplo.em, haplo.score
```

## **Examples**

```
# This is how it is used within haplo.score
# > score.gauss <- haplo.score(resp, geno, trait.type="gaussian",
# > em.control=haplo.em.control(insert.batch.size = 2, n.try=1))
```

haplo.em.fitter

Compute engine for haplotype EM algorithm

#### **Description**

For internal use within the haplo.stats library

# Usage

#### **Arguments**

n.loci number of loci in genotype matrix
n.subject number of subjects in the sample
weight numeric weights
geno.vec vectorized genotype matrix

geno. vec vectorized genotype matrix

n.alleles numeric vector giving number of alleles at each marker

max.haps maximum unique haplotypes in the sample max.iter maximum iterations to perform in the fitter

loci.insert.order

order to insert loci for progressive insertion

min.posterior after insertion and maximization, discard haplotype pairs per person that do not

meet minimum posterior prob

tol convergence tolerance for E-M steps

insert.batch.size

number of markers to insert per batch

random. start logical; if TRUE, allow for random starting values of haplotype frequencies

iseed1 random seed for algorithm iseed2 random seed for algorithm iseed3 random seed for algorithm

verbose logical, print long, verbose output from E-M steps?

#### **Details**

For internal use within the haplo.stats library

haplo.glm GLM Regression of Trait on Ambiguous Haplotypes

#### **Description**

Perform glm regression of a trait on haplotype effects, allowing for ambiguous haplotypes. This method performs an iterative two-step EM, with the posterior probabilities of pairs of haplotypes per subject used as weights to update the regression coefficients, and the regression coefficients used to update the posterior probabilities.

# Usage

#### **Arguments**

formula a formula expression as for other regression models, of the form response ~

predictors. For details, see the documentation for lm and formula.

family a family object. This is a list of expressions for defining the link, variance func-

tion, initialization values, and iterative weights for the generalized linear model. Supported families are: gaussian, binomial, poisson. Currently, only the logit

link is implemented for binimial.

data a data frame in which to interpret the variables occurring in the formula. A

CRITICAL element of the data frame is the matrix of genotypes, denoted here as "geno", although an informative name should be used in practice. This geno matrix is actually a matrix of alleles, such that each locus has a pair of adjacent columns of alleles, and the order of columns corresponds to the order of loci on a chromosome. If there are K loci, then ncol(geno) = 2\*K. Rows represent the alleles for each subject. It is also CRITICAL that this matrix is defined as a model.matrix, so the columns of the matrix are packaged together into a single matrix object. If geno is a matrix of alleles, then before adding it to the data frame, use the setupGeno() function, which will assign this correct class. The function will also recode alleles to numeric starting from 1, while saving the original alleles in the unique.alleles attribute. This attribute is required in

haplo.glm.

weights the weights for observations (rows of the data frame). By default, all observa-

tions are weighted equally.

na.action a function to filter missing data. This is applied to the model.frame. The default

value of na.action=na.geno.keep will keep observations with some (but not all) missing alleles, but exclude observations missing any other data (e.g., response variable, other covariates, weight). The EM algorithm for ambiguous haplotypes accounts for missing alleles. Similar to the usual glm, na.fail creates an error if any missing values are found, and a third possible alternative is na.exclude, which deletes observations that contain one or more missing values for any data,

including alleles.

start a vector of initial values on the scale of the linear predictor.

locus.label vector of labels for loci.

control list of control parameters. The default is constructed by the function haplo.glm.control.

The items in this list control the regression modeling of the haplotypes (e.g., additive, dominant, recessive effects of haplotypes; which haplotype is chosen as the baseline for regression; how to handle rare haplotypes; control of the glm function - maximum number of iterations), and the EM algorithm for estimating

initial haplotype frequencies. See haplo.glm.control for details.

method currently, glm.fit is the only method allowed.

model logical, if model=TRUE, the model.frame is returned.

x logical, if x=TRUE, the model.matrix is returned.

y logical, if y=TRUE, the response variable is returned.

contrasts currently ignored

. . . other arguments that may be passed - currently ignored.

#### **Details**

To properly prepare the data frame, the genotype matrix must be processed by setupGeno, and then included in the data frame with the response and other variables.

For binomial family, the initialization of values gives warnings if non-integer number of successes, which is a concern in these models because of the weights of posterior probability of each haplotype pair per subject. We supress the warnings by defining a haplo.binomial family, which we use if family=binomial is used.

#### Value

An object of class "haplo.glm" is returned. The output object from haplo.glm has all the components of a glm object, with a few more. It is important to note that some of the returned components correpond to the "expanded" version of the data. This means that each observation is expanded into the number of terms in the observation's posterior distribution of haplotype pairs, given the marker data. For example, when fitting the response y on haplotype effects, the value of y[i], for the ith observation, is replicated m[i] times, where m[i] is the number of pairs of haplotypes consistent with the observed marker data. The returned components that are expanded are indicated below by [expanded] in the definition of the component.

These expanded components may need to be collapsed, depending on the objective of the user. For example, when considering the influence of an observation, it may make sense to examine the expanded residuals for a single observation, perhaps plotted against the haplotypes for that observation. In contrast, it would not be sensible to plot all residuals against non-genetic covariates, without first collapsing the expanded residuals for each observation. To collapse, one can use the average residual per observation, weighted according to the posterior probabilities. The appropriate weight can be computed as wt = weight.expanded \* haplo.post.info[[post]]. Then, the weighted average can be calculated as with(fit, tapply(residuals \* wt, haplo.post.info[["indx"]], sum).

| coe | + $+$ $+$ | c i | n | + 0 |
|-----|-----------|-----|---|-----|
|     |           |     |   |     |

the coefficients of the linear.predictors, which multiply the columns of the model matrix. The names of the coefficients are the names of the column of the model matrix. For haplotype coefficients, the names are the concatentation of name of the geno matrix with a haplotype number. The haplotype number corresponds to the index of the haplotype. The default print will show the coefficients with haplotype number, along with the alleles that define the haplotype, and the estimated haplotype frequency. If the model is over-determined there will be missing values in the coefficients corresponding to inestimable coefficients.

residuals

[expanded] residuals from the final weighted least squares fit; also known as working residuals, these are typically not interpretable without rescaling by the weights (see glm.object and residuals.haplo.glm).

fitted.values

[expanded] fitted mean values, obtained by transforming linear.predictors using the inverse link function (see glm.object).

effects

[expaded] orthogonal, single-degree-of-freedom effects (see lm.object).

R

the triangular factor of the decomposition (see lm.object).

rank

the computed rank (number of linearly independent columns in the model ma-

trix), which is the model degrees of freedom - see lm.object.

assign

the list of assignments of coefficients (and effects) to the terms in the model (see

lm.object).

df.residual [expanded] number of degrees of freedom for residuals, corresponding to the

expanded data.

prior.weights [expanded] input weights after expanding according to the number of pairs of

haplotypes consistent with an observation's marker genotype data.

family a 3 element character vector giving the name of the family, the link and the

variance function; mainly for printing purposes.

linear.predictors

[expanded] linear fit, given by the product of the model matrix and the coeffi-

cients. In a glm, eta.

deviance up to a constant, minus twice the maximized log-likelihood. Similar to the resid-

ual sum of squares.

null.deviance the deviance corresponding to the model with no predictors.

call an image of the call that produced the object, but with the arguments all named

and with the actual formula included as the formula argument.

iter the number of IRLS iterations used to compute the estimates, for the last step of

the EM fit of coefficients.

y expanded response.

contrasts a list containing sufficient information to construct the contrasts used to fit any

factors occurring in the model (see lm.object).

lnlike log-likelihood of the fitted model.

lnlike.null log-likelihood of the null model (intercept-only).

1rt likelihood ratio test statistic to test whether all coefficients (except intercept)

are zero: 2\*(lnlike - lnlike.null)

terms an object of mode expression and class term summarizing the formula, but not

complete for the final model. Because this does not represent expansion of the design matrix for the haplotypes, it is typically not of direct relevance to users.

control list of all control parameters

haplo.unique the data.frame of unique haplotypes

haplo.base the index of the haplotype used as the base-line for the regression model. To see

the actual haplotype definition, use the following: with(fit, haplo.unique[haplo.base,]),

where fit is the saved haplo.glm object (e.g., fit <- haplo.glm(y  $\sim$  geno, ...) ).

haplo.freq the final estimates of haplotype frequencies, after completing EM steps of updat-

ing haplotype frequencies and regression coefficients. The length of haplo.freq is the number of rows of haplo.unique, and the order of haplo.freq is the same as that for the rows of haplo.unique. So, the frequencies of the unique haplotypes

can be viewed as with(fit, cbind(haplo.unique, haplo.freq)).

haplo.freq.init

the initial estimates of haplotype frequencies, based on the EM algorithm for estimating haplotype frequencies, ingnoring the trait. These can be compared with haplo.freq, to see the impact of using the regression model to update the

haplotype frequencies.

converge.em T/F whether the EM-glm steps converged

haplo.common the indices of the haplotypes determined to be "common" enough to estimate

their corresponding regression coefficients.

haplo.rare the indices of all the haplotypes determined to be too rare to estimate their spe-

cific regression coefficients.

haplo.rare.term

T/F whether the "rare" term is included in the haplotype regression model.

haplo.names the names of the coefficients that represent haplotype effects.

haplo.post.info

a data.frame of information regarding the posterior probabilites. The columns of this data.frame are: indx (the index of the input obsevation; if the ith observation is repeated m times, then indx will show m replicates of i; hence, indx will correspond to the "expanded" observations); hap1 and hap2 (the indices of the haplotypes; if hap1=j and hap2=k, then the two haplotypes in terms of alleles are haplo.unique[j,] and haplo.unique[k,] from the fitted object); post.init (the initial posterior probability, based on haplo.freq.init); post (the final posterior probability, based on haplo.freq).

x the model matrix, with [expanded] rows, if x=T.

info the observed information matrix, based on Louis' formula. The upper left sub-

matrix is for the regression coefficient, the lower right submatrix for the haplotype frequencies, and the remaining is the information between regression coef-

ficients and haplotype frequencies.

var.mat the variance-covariance matrix of regression coefficients and haplotype frequen-

cies, based on the inverse of info. Upper left submatrix is for regression coeffi-

cients, lower right submatrix for haplotype frequencies.

haplo.elim the indices of the haplotypes eliminated from the info and var.mat matrices be-

cause their frequencies are less than haplo.min.info (the minimum haplotype frequency required for computation of the information matrix - see haplo.glm.control)

missing a matrix of logical values, indicating whether rows of data were removed for

missing values in either genotype matrix (genomiss) or any other variables (yxmiss),

such as y, other covariates, or weights.

rank.info rank of information (info) matrix.

#### References

Lake S, Lyon H, Silverman E, Weiss S, Laird N, Schaid D (2002) Estimation and tests of haplotype-environment interaction when linkage phase is ambiguous. Human Heredity 55:56-65.

#### See Also

```
haplo.glm.control, haplo.em, haplo.model.frame
```

## **Examples**

```
cat(" FOR REGULAR USAGE, DO NOT DISCARD GENOTYPES WITH MISSING VALUES\n")
cat(" WE ONLY SUBSET BY keep HERE SO THE EXAMPLES RUN FASTER\n")
data(hla.demo)
```

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```
geno <- as.matrix(hla.demo[,c(17,18,21:24)])</pre>
keep <- !apply(is.na(geno) | geno==0, 1, any) # SKIP THESE THREE LINES
hla.demo <- hla.demo[keep,]</pre>
                                                 # IN AN ANALYSIS
geno <- geno[keep,]</pre>
attach(hla.demo)
label <-c("DQB","DRB","B")</pre>
y <- hla.demo$resp
y.bin <- 1*(hla.demo$resp.cat=="low")</pre>
# set up a genotype array as a model.matrix for inserting into data frame
# Note that hla.demo is a data.frame, and we need to subset to columns
# of interest. Also also need to convert to a matrix object, so that
# setupGeno can code alleles and convert geno to 'model.matrix' class.
geno <- setupGeno(geno, miss.val=c(0,NA))</pre>
 # geno now has an attribute 'unique.alleles' which must be passed to
 # haplo.glm as allele.lev=attributes(geno)$unique.alleles, see below
my.data <- data.frame(geno=geno, age=hla.demo$age, male=hla.demo$male,</pre>
                      y=y, y.bin=y.bin)
fit.gaus <- haplo.glm(y \sim male + geno, family = gaussian, na.action=
               "na.geno.keep",allele.lev=attributes(geno)$unique.alleles,
               data=my.data, locus.label=label,
               control = haplo.glm.control(haplo.freq.min=0.02))
fit.gaus
```

haplo.glm.control

Create list of control parameters for haplo.glm

## **Description**

Create a list of control pararameters for haplo.glm. If no parameters are passed to this function, then all default values are used.

#### Usage

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#### **Arguments**

haplo.effect the "effect" of a haplotypes, which determines the covariate (x) coding of hap-

lotypes. Valid options are "additive" (causing  $x=0,\,1,\,$  or 2, the count of a particular haplotype), "dominant" (causing x=1 if heterozygous or homozygous carrier of a particular haplotype; x=0 otherwise), and "recessive" (causing

x = 1 if homozygous for a particular haplotype; x = 0 otherwise).

haplo.base the index for the haplotype to be used as the base-line for regression. By default,

haplo.base=NULL, so that the most frequent haplotype is chosen as the base-

line.

haplo.min.count

The minimum number of expected counts for a haplotype from the sample to be included in the model. The count is based on estimated haplotype frequencies.

Suggested minimum is 5.

haplo.freq.min the minimum haplotype frequency for a haplotype to be included in the regression model as its own effect. The haplotype frequency is based on the EM

algorithm that estimates haplotype frequencies independent of trait.

sum.rare.min the sum of the "rare" haplotype frequencies must be larger than sum.rare.min in order for the pool of rare haplotypes to be included in the regression model as a

separate term. If this condition is not met, then the rare haplotypes are pooled

with the base-line haplotype (see keep.rare.haplo below).

haplo.min.info the minimum haplotype frequency for determining the contribution of a haplo-

type to the observed information matrix. Haplotypes with less frequency are dropped from the observed information matrix. The haplotype frequency is that from the final EM that iteratively updates haplotype frequencies and regression

coefficients.

keep.rare.haplo

TRUE/FALSE to determine if the pool of rare haplotype should be kept as a separate term in the regression model (when keep.rare.haplo=TRUE), or pooled

with the base-line haplotype (when keep.rare.haplo=FALSE).

eps.svd argument to be passed to Ginv for the generalized inverse of the information

matrix, helps to determine the number of singular values

glm.c list of control parameters for the usual glm.control (see glm.control).

em. c list of control parameters for the EM algorithm to estimate haplotype frequen-

cies, independent of trait (see haplo.em.control).

## Value

the list of above components

#### See Also

haplo.glm, haplo.em.control, glm.control

#### **Examples**

# NOT RUN

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haplo.group

Frequencies for Haplotypes by Grouping Variable

# Description

Calculate maximum likelihood estimates of haplotype probabilities for the entire dataset and separately for each subset defined by the levels of a group variable. Only autosomal loci are considered.

## Usage

# Arguments

| group       | Group can be of logical, numeric, character, or factor class type.  |
|-------------|---|
| geno        | Matrix of alleles, such that each locus has a pair of adjacent columns of alleles, and the order of columns corresponds to the order of loci on a chromosome. If there are K loci, then geno has 2*K columns. Rows represent all observed alleles for each subject. |
| locus.label | Vector of labels for loci, of length K (see definition of geno matrix).   |
| miss.val    | Vector of codes for allele missing values.  |
| weight      | weights for observations (rows of geno matrix). One reason to use is to adjust for disproportionate sample of sub-groups. Weights only used in the frequency calculation for the pooled subject.  |
| control     | list of control parameters for haplo.em (see haplo.em.control).   |

#### **Details**

Haplo.em is used to compute the maximum likelihood estimates of the haplotype frequencies for the total sample, then for each of the groups separately.

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#### Value

A list as an object of the haplo.group class. The three elements of the list are described below.

group.df

A data frame with the columns described as follows. -haplotype: Names for the K columns for the K alleles in the haplotypes. -total: Estimated frequencies for haplotypes from the total sample. -group.name.i: Estimated haplotype frequencies for the haplotype if it occurs in the group referenced by 'i'. Frequency is NA if it doesn't occur for the group. The column name is the actual variable name joined with the ith level of that variable.

group.count

Number of loci occuring in the geno matrix.

#### References

Schaid DJ, Rowland CM, Tines DE, Jacobson RM, Poland GA. "Score tests for association of traits with haplotypes when linkage phase is ambiguous." Amer J Hum Genet. 70 (2002): 425-434.

#### See Also

print.haplo.group, haplo.em

# Examples

```
data(hla.demo)
  geno <- as.matrix(hla.demo[,c(17,18,21:24)])

# remove any subjects with missing alleles for faster examples,
# but you may keep them in practice
  keep <- !apply(is.na(geno) | geno==0, 1, any)
  hla.demo <- hla.demo[keep,]
  geno <- geno[keep,]
  attach(hla.demo)

y.ord <- as.numeric(resp.cat)
  y.bin <-ifelse(y.ord==1,1,0)
  group.bin <- haplo.group(y.bin, geno, miss.val=0)
  print.haplo.group(group.bin)</pre>
```

haplo.hash

Integer Rank Codes for Haplotypes

#### **Description**

Create a vector of integer codes for the input matrix of haplotypes. The haplotypes in the input matrix are converted to character strings, and if there are C unique strings, the integer codes for the haplotypes will be 1, 2, ..., C.

haplo.model.frame 29

## Usage

```
haplo.hash(hap)
```

#### **Arguments**

hap A matrix of haplotypes. If there are N haplotypes for K loci, hap have dimen-

sions N x K.

#### **Details**

The alleles that make up each row in hap are pasted together as character strings, and the unique strings are sorted so that the rank order of the sorted strings is used as the integer code for the unique haplotypes.

#### Value

List with elements:

hash Vector of integer codes for the input data (hap). The value of hash is the row

number of the unique haplotypes given in the returned matrix hap.mtx.

hap.mtx Matrix of unique haplotypes.

#### See Also

haplo.em

haplo.model.frame Sets up a model frame for haplo.glm

# Description

For internal use within the haplo.stats library

# Usage

```
haplo.model.frame(m, locus.label=NA, control=haplo.glm.control())
```

# Arguments

m model.frame from evaluated formula
 locus.label labels for loci in genotype matrix
 control control parameters for haplo.glm

#### **Details**

See haplo.glm description in help file and user manual

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# Value

A model frame with haplotypes modeled as effects

| haplo.power.cc | Compute either power or sample size for haplotype associations in a case-control study. |
|----------------|---|

# Description

For a given set of haplotypes, their population frequencies, and assumed logistic regression coefficients (log-odds-ratios per haplotype, assuming a log-additive model of haplotype effects), determine either the sample size (total number of subjects) to achieve a stated power or the power for a stated sample size.

# Usage

```
haplo.power.cc(haplo, haplo.freq, base.index, haplo.beta, case.frac,
prevalence, alpha, sample.size=NULL, power=NULL)
```

# **Arguments**

| haplo       | matrix of haplotypes, with rows the different haplotypes and columns the alleles of the haplotypes. For H haplotypes of L loci, haplo has dimension H x L.  |
|-------------|---|
| haplo.freq  | vector of length H for the population haplotype frequencies (corresponding to the rows of haplo)  |
| base.index  | integer index of the haplotype considered to be the base-line for logistic regression (index between 1 and H); often, the most common haplotype is chosen for the base-line.  |
| haplo.beta  | vector of length H for the haplotype effects: each beta is the log-odds-ratio for the corresponding haplotype effect. The base-line hapoltype should have a beta=0, as this base-line beta coefficient will be automatically calculated according to the haplotype frequencies, the other haplo.beta's, and the disease prevalence. |
| case.frac   | fraction of cases in the total sample size (e.g., case.frac = $.5$ for typical case-control studies with equal numbers of cases and controls)   |
| prevalence  | popultaion disease prevalence (used to calculate the base-line intercept beta)  |
| alpha       | type-I error rate   |
| sample.size | total sample size (if power is to be calcualted). Either sample size or power must be specified, but not both.  |
| power       | desired power (if sample.size is to be calculated). Either sample.size or power must be specified, but not both.  |
|             |   |

haplo.power.cc 31

#### **Details**

Asympotic power calcuations are based on the non-centrality parameter of a non-central chi-square distribution. This non-centrality parameter is determined by the specified regression coefficients (values in haplo.beta), as well as the distribution of haplotypes (determined by haplo.freq). To account for haplotypes with unknown phase, all possible haplotype pairs are enumerated, and the EM algorithm is used to determine the posterior probabilities of pairs of haplotypes, conditional on unphased genotype data. Because this function uses the function haplo.em, the number of possible haplotypes can be large when there is a large number of loci (i.e., large number of columns in the haplo matrix). If too large, the function haplo.em will run out of memory, making this function (haplo.power.cc) fail. If this occurs, then consider reducing the "size" of the haplotypes, by reducing the number of columns of haplo, and adjusting the corresponding vectors (e.g., haplo.freq, haplo.beta).

#### Value

```
list with components:

ss.phased.haplo
sample size for phased haplotypes

ss.unphased.haplo
sample size for unphased haplotypes

power.phased.haplo
power for phased haplotypes

power.unphased.haplo
power for unphased haplotypes
```

#### References

Schaid, DJ. Power and sample size for testing associations of haplotypes with complex traits. Ann Hum Genet (2005) 70:116-130.

#### See Also

```
haplo.em haplo.power.qt
```

# **Examples**

```
haplo <- rbind(
                                    2,
                c(
                                                  2),
                c(
                       1,
                              2,
                                    2,
                                           1,
                                                  1),
                       1,
                c(
                              1,
                                    2,
                                                  1),
                                           1,
                              2,
                c(
                       1,
                                    1,
                                                  2),
                                           1,
                              2,
                c(
                                    2,
                                           2,
                       1,
                                                  1),
                              2,
                c(
                       1,
                                    1,
                                                  1),
                c(
                                    2,
                                                  1),
                       1,
                              1,
                c(
                                    1,
                                           1,
                                                  2),
                c(
                       1,
                              2,
                                    1,
                                           2,
                                                 1),
                c(
                       1,
                             1,
                                    1,
                                                 1),
                c(
                       2,
                              2,
                                                  2),
                c(
                                                  2),
```

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```
2,
                                   2,
                                               2),
               c(
                            1,
               c(
                      1,
                            2,
                                  2,
                                         2,
                                               2),
               c(
                      2,
                            2,
                                  2,
                                         1,
                                               2),
                           1,
               c(
                      1,
                                1,
                                         1,
                                              1),
                           1,
               c(
                      2,
                                 1,
                                         1,
                                              1),
               c(
                      2,
                            1,
                                  2,
                                        1,
                                              1),
               c(
                      2,
                            2,
                                  1,
                                         1,
                                               1),
                      2,
                            2,
                                  1,
                                         2,
               c(
                                               1),
                                         1,
                      2,
                            2,
                                  2,
               c(
                                               1))
dimnames(haplo)[[2]] <- paste("loc", 1:ncol(haplo), sep=".")</pre>
haplo <- data.frame(haplo)</pre>
haplo.freq <- c(0.170020121, 0.162977867, 0.123742455, 0.117706237, 0.097585513, 0.084507042,
            0.045271630, 0.039235412, 0.032193159, 0.019114688, 0.019114688, 0.013078471,
            0.013078471, 0.013078471, 0.013078471, 0.006036217, 0.006036217, 0.006036217,
                0.006036217, 0.006036217, 0.006036217)
# define index for risk haplotypes (having alleles 1-1 at loci 2 and 3)
haplo.risk <- (1:nrow(haplo))[haplo$loc.2==1 & haplo$loc.3==1]</pre>
# define index for baseline haplotype
base.index <- 1</pre>
# specify OR for risk haplotypes
or <- 1.25
# determine beta regression coefficients for risk haplotypes
haplo.beta <- numeric(length(haplo.freq))</pre>
haplo.beta[haplo.risk] <- log(or)</pre>
# Note that non-risk haplotypes have beta=0, as does the intercept
# (haplotype with base.index value).
# Compute total sample size for given power
haplo.power.cc(haplo, haplo.freq, base.index, haplo.beta, case.frac=.5,
prevalence=.1, alpha=.05, power=.8)
# Compute power for given sample size
haplo.power.cc(haplo, haplo.freq, base.index, haplo.beta, case.frac=.5,
prevalence=.1, alpha=.05, sample.size=11978)
```

haplo.power.qt Compute either power or sample size for haplotype associations with a quantitative trait.

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## **Description**

For a given set of haplotypes, their population frequencies, and assumed linear regression coefficients (additive model of haplotype effects on a quantitative trait), determine either the sample size to achieve a stated power or the power for a stated sample size.

## Usage

```
haplo.power.qt(haplo, haplo.freq, base.index, haplo.beta, y.mu, y.var,
alpha, sample.size = NULL, power = NULL)
```

#### **Arguments**

| haplo       | matrix of haplotypes, with rows the different haplotypes and columns the alleles of the haplotypes. For H haplotypes of L loci, haplo has dimension H $x$ L.   |
|-------------|--|
| haplo.freq  | vector of length H for the population haplotype frequencies (corresponding to the rows of haplo)   |
| base.index  | integer index of the haplotype considered to be the base-line for logistic regression (index between 1 and H); often, the most common haplotype is chosen for the base-line.   |
| haplo.beta  | vector of length H for the haplotype effects: each beta is the amount of expected change per haplotype from the base-line average, and the beta for the base-line (indexed by base.index) is the beta for the intercept. |
| y.mu        | population mean of quantitative trait, y.  |
| y.var       | popultaion variance of quantitative trait, y.  |
| alpha       | type-I error rate  |
| sample.size | sample size (if power is to be calcualted). Either sample.size or power must be specified, but not both.   |
| power       | desired power (if sample.size is to be calculated). Either sample.size or power must be specified, but not both.   |

#### **Details**

Asympotic power calcuations are based on the non-centrality parameter of a non-central F distribution. This non-centrality parameter is determined by the specified regression coefficients (values in haplo.beta), as well as the distribution of haplotypes (determined by haplo.freq). To account for haplotypes with unknown phase, all possible haplotype pairs are enumerated, and the EM algorithm is used to determine the posterior probabilities of pairs of haplotypes, conditional on unphased genotype data. Because this function uses the function haplo.em, the number of possible haplotypes can be large when there is a large number of loci (i.e., large number of columns in the haplo matrix). If too large, the function haplo.em will run out of memory, making this function (haplo.power.qt) fail. If this occurs, then consider reducing the "size" of the haplotypes, by reducing the number of columns of haplo, and adjusting the corresponding vectors (e.g., haplo.freq, haplo.beta).

haplo.power.qt

#### Value

```
list with components:

ss.phased.haplo
sample size for phased haplotypes

ss.unphased.haplo
sample size for unphased haplotypes

power.phased.haplo
power for phased haplotypes

power.unphased.haplo
power for unphased haplotypes
```

#### References

Schaid, DJ. Power and sample size for testing associations of haplotypes with complex traits. Ann Hum Genet (2005) 70:116-130.

#### See Also

```
find.haplo.beta.qt, haplo.em, haplo.power.cc
```

# **Examples**

```
haplo <- rbind(
                                 2,
                                             2),
              c(
                                             1),
                     1,
                          1,
                                 2,
                                       1,
              c(
                                             1),
                          2,
              c(
                     1,
                               1,
                                       1,
                                             2),
                           2,
              c(
                     1,
                               2,
                                       2,
                                            1),
                           2,
              c(
                     1,
                                1,
                                       1,
                                            1),
                                       2,
              c(
                     1,
                           1,
                                 2,
                                             1),
              c(
                     1,
                           1,
                                 1,
                                       1,
                                             2),
              c(
                     1,
                           2,
                                 1,
                                       2,
                                             1),
              c(
                     1,
                           1,
                                 1,
                                       2,
                                             1),
              c(
                     2,
                           2,
                               1,
                                       1,
                                             2),
              c(
                     1,
                           1,
                                2,
                                       1,
                                             2),
                           1,
              c(
                     1,
                               2,
                                       2,
                                             2),
               c(
                     1,
                           2,
                               2,
                                       2,
                                             2),
               c(
                     2,
                           2,
                               2,
                                       1,
                                             2),
                               1,
                          1,
                     1,
                                       1,
               c(
                                             1),
                     2,
                                             1),
               c(
                           1,
                               1,
                                       1,
                                       1,
               c(
                     2,
                           1,
                                 2,
                                             1),
                     2,
                           2,
                                 1,
                                       1,
               c(
                                             1),
                           2,
                     2,
                                 1,
                                       2,
               c(
                                             1),
                     2,
                           2,
                                 2,
                                       1,
                                             1))
dimnames(haplo)[[2]] <- paste("loc", 1:ncol(haplo), sep=".")</pre>
haplo <- data.frame(haplo)</pre>
haplo.freq <- c(0.170020121, 0.162977867, 0.123742455, 0.117706237,
0.097585513, 0.084507042, 0.045271630, 0.039235412, 0.032193159,
0.019114688, 0.019114688, 0.013078471, 0.013078471, 0.013078471,
```

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```
0.013078471, 0.006036217, 0.006036217, 0.006036217,
 0.006036217, 0.006036217, 0.006036217)
 # define index for risk haplotypes (having alleles 1-1 at loci 2 and 3)
 haplo.risk <- (1:nrow(haplo))[haplo$loc.2==1 & haplo$loc.3==1]</pre>
 # define index for baseline haplotype
 base.index <- 1
 # Because it can be easier to speficy genetic effect size in terms of
 # a regression model R-squared value (r2), we use an
 # auxiliary function to set up haplo.beta based on a specifed r2 value:
 tmp <- find.haplo.beta.qt(haplo,haplo.freq,base.index,haplo.risk,</pre>
 r2=0.01, y.mu=0, y.var=1)
 haplo.beta <- tmp$beta
 # Compute sample size for given power
 haplo.power.qt(haplo, haplo.freq, base.index, haplo.beta, y.mu=0,
 y.var=1, alpha=.05, power=.80)
 # Compute power for given sample size
 haplo.power.qt(haplo, haplo.freq, base.index, haplo.beta, y.mu=0,
 y.var=1, alpha=.05, sample.size = 2091)
haplo.scan
                         Search for a trait-locus by sliding a fixed-width window over each
                         marker locus and scanning all possible haplotype lengths within the
```

#### **Description**

Search for haplotypes that have the strongest association with a binary trait (typically case/control status) by sliding a fixed-width window over each marker locus and scanning all possible haplotype lengths within the window. For each haplotype length, a score statistic is computed to compare the set of haplotypes with a given length between cases versus controls. The locus-specific score statistic is the maximum score statistic calculated on loci containing that locus. The maximum score statistic over all haplotype lengths within all possible windows is used for a global test for association. Permutations of the trait are used to compute p-values.

#### Usage

window

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```
haplo.scan.obs(y, em.obj, width)
haplo.scan.sim(y.reord, save.lst, nloci)
```

#### **Arguments**

y Vector of binary trait values, must be 1 for cases and 0 for controls.

y.reord Same as y, except the order is permuted

geno Matrix of alleles, such that each locus has a pair of adjacent columns of alleles,

and the order of columns corresponds to the order of loci on a chromosome. If there are K loci, then ncol(geno) = 2\*K. Rows represent alleles for each subject.

width Width of sliding the window

miss.val Vector of codes for missing values of alleles

em. control A list of control parameters to determine how to perform the EM algorithm for

estimating haplotype frequencies when phase is unknown. The list is created by

the function haplo.em.control - see this function for more details.

sim.control A list of control parameters to determine how simulations are performed for

simulated p-values. The list is created by the function score.sim.control and the default values of this function can be changed as desired. See score.sim.control

for details.

em. obj Object returned from haplo.em, performed on geno

save.1st Information on haplotypes needed for haplo.scan.sim, already calculated in haplo.scan

nloci number of markers

## **Details**

Search for a region for which the haplotypes have the strongest association with a binary trait by sliding a window of fixed width over each marker locus, and considering all haplotype lengths within each window. To acount for unknown linkage phase, the function haplo.em is called prior to scanning, to create a list of haplotype pairs and posterior probabilities. To illustrate the scanning, consider a 10-locus dataset. When placing a window of width 3 over locus 5, the possible haplotype lengths that contain locus 5 are three (loci 3-4-5, 4-5-6, and 5-6-7), two (loci 4-5, and 5-6) and one (locus 5). For each of these loci subsets a score statistic is computed, which is based on the difference between the mean vector of haplotype counts for cases and that for controls. The maximum of these score statistics, over all possible haplotype lengths within a window, is the locus-specific test statistic. The global test statistic is the maximum over all computed score statistics. To compute p-values, the case/control status is randomly permuted. Simulations are performed until precision criteria are met for all p-values; the criteria are controlled by score.sim.control. See the note for long run times.

#### Value

A list that has class haplo.scan, which contains the following items:

call The call to haplo.scan

| scan.df | A data frame containing the maximum test statistic for each window around each locus, and its simulated p-value.  |
|---------|---|
| max.loc | The loci (locus) which contain(s) the maximum observed test statistic over all haplotype lengths and all windows. |
| globalp | A p-value for the significance of the global maximum statistic.   |
| nsim    | Number of simulations performed   |

#### Note

For datasets with many estimated haplotypes, the run-time can be very long.

# References

Cheng et al-1 Cheng R, Ma JZ, Wright FA, Lin S, Gau X, Wang D, Elston RC, Li MD. "Nonparametric disequilibrium mapping of functional sites using haplotypes of multiple tightly linked single-nucleotide polymorphism markers". Genetics 164 (2003):1175-1187.

**Cheng et al-2** Cheng R, Ma JZ, Elston RC, Li MD. "Fine Mapping Functional Sites or Regions from Case-Control Data Using Haplotypes of Multiple Linked SNPs." Annals of Human Genetics 69 (2005): 102-112.

#### See Also

```
haplo.em, haplo.em.control, score.sim.control
```

#### **Examples**

haplo.score

Score Statistics for Association of Traits with Haplotypes

#### **Description**

Compute score statistics to evaluate the association of a trait with haplotypes, when linkage phase is unknown and diploid marker phenotypes are observed among unrelated subjects. For now, only autosomal loci are considered.

#### Usage

#### **Arguments**

y Vector of trait values. For trait.type = "binomial", y must have values of 1 for

event, 0 for no event.

geno Matrix of alleles, such that each locus has a pair of adjacent columns of alleles,

and the order of columns corresponds to the order of loci on a chromosome. If there are K loci, then ncol(geno) = 2\*K. Rows represent alleles for each subject.

trait.type Character string defining type of trait, with values of "gaussian", "binomial",

"poisson", "ordinal".

offset Vector of offset when trait.type = "poisson"

x.adj Matrix of non-genetic covariates used to adjust the score statistics. Note that

intercept should not be included, as it will be added in this function.

min.count The minimum number of counts for a haplotype to be included in the model.

First, the haplotypes selected to score are chosen by minimum frequency greater than skip.haplo (based on min.count, by default). It is also used when haplo effect is either dominant or recessive. This is explained best in the recessive instance, where only subjects who are homozygous for a haplotype will contribute information to the score for that haplotype. If fewer than min.count subjects are estimated to be affected by that haplotype, it is not scored. A warning is issued

if no haplotypes can be scored.

skip.haplo Minimum haplotype frequency for which haplotypes are scored in the model.

By default, the frequency is based on "min.count" divided by the 2\*N total hap-

lotype occurrences in the sample.

locus.label Vector of labels for loci, of length K (see definition of geno matrix)

miss.val Vector of codes for missing values of alleles

haplo.effect the "effect" of a haplotypes, which determines the covariate (x) coding of hap-

lotypes. Valid options are "additive" (causing  $x=0,\,1,\,$  or 2, the count of a particular haplotype), "dominant" (causing x=1 if heterozygous or homozygous carrier of a particular haplotype; x=0 otherwise), and "recessive" (causing

x = 1 if homozygous for a particular haplotype; x = 0 otherwise).

eps.svd epsilon value for singular value cutoff; to be used in the generalized inverse cal-

culation on the variance matrix of the score vector (see help(Ginv) for details).

simulate Logical: if FALSE, no empirical p-values are computed; if TRUE, simula-

tions are performed. Specific simulation parameters can be controlled in the

sim.control parameter list.

sim.control A list of control parameters to determine how simulations are performed for

simulated p-values. The list is created by the function score.sim.control and the default values of this function can be changed as desired. See score.sim.control

for details.

em.control

A list of control parameters to determine how to perform the EM algorithm for estimating haplotype frequencies when phase is unknown. The list is created by the function haplo.em.control - see this function for more details.

#### **Details**

Compute the maximum likelihood estimates of the haplotype frequencies and the posterior probabilities of the pairs of haplotypes for each subject using an EM algorithm. The algorithm begins with haplotypes from a subset of the loci and progressively discards those with low frequency before inserting more loci. The process is repeated until haplotypes for all loci are established. The posterior probabilities are used to compute the score statistics for the association of (ambiguous) haplotypes with traits. The glm function is used to compute residuals of the regression of the trait on the non-genetic covariates.

#### Value

List with the following components:

score.global Global statistic to test association of trait with haplotypes that have frequencies

>= skip.haplo.

df Degrees of freedom for score.global.

score.global.p P-value of score.global based on chi-square distribution, with degrees of free-

dom equal to df.

score.global.p.sim

P-value of score.global based on simulations (set equal to NA when simulate=F).

score.haplo Vector of score statistics for individual haplotypes that have frequencies >=

skip.haplo.

score.haplo.p Vector of p-values for score.haplo, based on a chi-square distribution with 1 df.

score.haplo.p.sim

Vector of p-values for score.haplo, based on simulations (set equal to NA when

simulate=F).

score.max.p.sim

Simulated p-value indicating for simulations the number of times a maximum

score.haplo value exceeds the maximum score.haplo from the original data (equal

to NA when simulate=F).

haplotype Matrix of hapoltypes analyzed. The ith row of haplotype corresponds to the ith

item of score.haplo, score.haplo.p, and score.haplo.p.sim.

hap.prob Vector of haplotype probabilies, corresponding to the haplotypes in the matrix

haplotype.

locus.label Vector of labels for loci, of length K (same as input argument).

The call to the haplo.score function; useful for recalling what parameters were

used.

haplo.effect The haplotype effect model parameter that was selected for haplo.score.

simulate Same as function input parameter. If [T]rue, simulation results are included in

the haplo.score object.

n.val.global Vector containing the number of valid simulations used in the global score statistic simulation. The number of valid simulations can be less than the number of simulations requested (by sim.control) if simulated data sets produce unstable variances of the score statistics.
 n.val.haplo Vector containing the number of valid simulations used in the p-value simulations for maximum-score statistic and scores for the individual haplotypes.

#### References

Schaid DJ, Rowland CM, Tines DE, Jacobson RM, Poland GA. "Score tests for association of traits with haplotypes when linkage phase is ambiguous." Amer J Hum Genet. 70 (2002): 425-434.

#### See Also

haplo.em, plot.haplo.score, print.haplo.score, haplo.em.control, score.sim.control

## **Examples**

```
# establish all hla.demo data,
 # remove genotypes with missing alleles just so haplo.score runs faster
 # with missing values included, this example takes 2-4 minutes
 # FOR REGULAR USAGE, DO NOT DISCARD GENOTYPES WITH MISSING VALUES
 data(hla.demo)
 geno <- as.matrix(hla.demo[,c(17,18,21:24)])</pre>
 keep <- !apply(is.na(geno) | geno==0, 1, any)</pre>
 hla.demo <- hla.demo[keep,]</pre>
 geno <- geno[keep,]</pre>
 attach(hla.demo)
 label <- c("DQB","DRB","B")</pre>
# For quantitative, normally distributed trait:
 score.gaus <- haplo.score(resp, geno, locus.label=label,</pre>
                              trait.type = "gaussian")
 print(score.gaus)
# For ordinal trait:
 y.ord <- as.numeric(resp.cat)</pre>
 score.ord <- haplo.score(y.ord, geno, locus.label=label,</pre>
                            trait.type="ordinal")
 print(score.ord)
# For a binary trait and simulations,
# limit simulations to 500 in score.sim.control, default is 20000
 y.bin \leftarrowifelse(y.ord==1,1,0)
  score.bin.sim <- haplo.score(y.bin, geno, trait.type = "binomial",</pre>
                      locus.label=label, simulate=TRUE,
                      sim.control=score.sim.control(min.sim=200,max.sim=500))
 print(score.bin.sim)
```

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haplo.score.merge

Merge haplo.score And haplo.group Objects

## **Description**

Combine information from returned objects of haplo.score and haplo.group, 'score' and 'group' respectively. 'score' and 'group' are sorted differently and 'score' keeps a subset of all the haplotypes while 'group' has all of them. To combine results from the two objects, merge them by haplotype and sort by score of the haplotype. The merged object includes all haplotypes; i.e. those appearing in 'group', but the print default only shows haplotypes which have a score.

#### Usage

```
haplo.score.merge(score, group)
```

#### Arguments

| score | Object returned from haplo.score of class "haplo.score". |
|-------|--|
| group | Object returned from haplo.group of class "haplo.group". |

#### **Details**

Haplo.score returns score statistic and p-value for haplotypes with an overall frequency above the user-specified threshold, skip.haplo. For haplotypes with frequencies below the threshold, the score and p-value will be NA. Overall haplotype frequencies and for sub-groups are estimated by haplo.group.

#### Value

Data frame including haplotypes, score-statistics, score p-value, estimated haplotype frequency for all subjects, and haplotype frequency from group subsets.

# **Side Effects**

Warning: The merge will not detect if the group and score objects resulted from different subject phenotypes selected by memory-usage parameters, rm.geno.na and enum.limit. Users must use the same values for these parameters in haplo.score and haplo.group so the merged objects are consistent.

#### See Also

```
haplo.score, haplo.group
```

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#### **Examples**

```
data(hla.demo)
geno <- as.matrix(hla.demo[,c(17,18,21:24)])
keep <- !apply(is.na(geno) | geno==0, 1, any)
hla.demo <- hla.demo[keep,]
geno <- geno[keep,]
attach(hla.demo)
y.ord <- as.numeric(resp.cat)
y.bin <-ifelse(y.ord==1,1,0)

group.bin <- haplo.group(y.bin, geno, miss.val=0)
score.bin <- haplo.score(y.bin, geno, trait.type="binomial")
score.merged <- haplo.score.merge(score.bin, group.bin)</pre>
```

haplo.score.slide

Score Statistics for Association of Traits with Haplotypes

## **Description**

Used to identify sub-haplotypes from a group of loci. Run haplo.score on all contiguous subsets of size n.slide from the loci in a genotype matrix (geno). From each call to haplo.score, report the global score statistic p-value. Can also report global and maximum score statistics simulated p-values.

#### Usage

#### **Arguments**

| У          | Vector of trait values. For trait.type = "binomial", y must have values of 1 for event, 0 for no event.   |
|------------|---|
| geno       | Matrix of alleles, such that each locus has a pair of adjacent columns of alleles, and the order of columns corresponds to the order of loci on a chromosome. If there are K loci, then $ncol(geno) = 2*K$ . Rows represent alleles for each subject. |
| trait.type | Character string defining type of trait, with values of "gaussian", "binomial",   |

"poisson", "ordinal".

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| n.slide  | Number of loci in each contiguous subset. The first subset is the ordered loci numbered 1 to n.slide, the second subset is 2 through n.slide+1 and so on. If the total number of loci in geno is n.loci, then there are n.loci - n.slide + 1 total subsets.   |  |  |
|--|---|--|--|
| offset   | Vector of offset when trait.type = "poisson"  |  |  |
| x.adj  | Matrix of non-genetic covariates used to adjust the score statistics. Note that intercept should not be included, as it will be added in this function.   |  |  |
| min.count  | The minimum number of counts for a haplotype to be included in the model. First, the haplotypes selected to score are chosen by minimum frequency greater than skip.haplo (based on min.count, by default). It is also used when haplo.effect is either dominant or recessive. This is explained best in the recessive instance, where only subjects who are homozygous for a haplotype will contribute information to the score for that haplotype. If fewer than min.count subjects are estimated to be affected by that haplotype, it is not scored. A warning is issued if no haplotypes can be scored. |  |  |
| skip.haplo   | For haplotypes with frequencies < skip.haplo, categorize them into a common group of rare haplotypes.   |  |  |
| locus.label  | Vector of labels for loci, of length K (see definition of geno matrix).   |  |  |
| miss.val   | Vector of codes for missing values of alleles.  |  |  |
| haplo.effect   | The "effect" pattern of haplotypes on the response. This parameter determines the coding for scoring the haplotypes. Valid coding options for heterozygous and homozygous carriers of a haplotype are "additive" (1, 2, respectively), "dominant" (1,1, respectively), and "recessive" (0, 1, respectively).  |  |  |
| eps.svd  | epsilon value for singular value cutoff; to be used in the generalized inverse calculation on the variance matrix of the score vector.  |  |  |
| simulate   | Logical, if [F]alse (default) no empirical p-values are computed. If [T]rue sin ulations are performed. Specific simulation parameters can be controlled in the sim.control parameter list.   |  |  |
| A list of control parameters used to perform simulations for simulated p-value in haplo.score. The list is created by the function score.sim.control and to default values of this function can be changed as desired. |   |  |  |
| em.control   | A list of control parameters used to perform the em algorithm for estimating haplotype frequencies when phase is unknown. The list is created by the function haplo.em.control and the default values of this function can be changed as desired.   |  |  |

# **Details**

Haplo.score.slide is useful for a series of loci where little is known of the association between a trait and haplotypes. Using a range of n.slide values, the region with the strongest association will consistently have low p-values for locus subsets containing the associated haplotypes. The global p-value measures significance of the entire set of haplotypes for the locus subset. Simulated maximum score statistic p-values indicate when one or a few haplotypes are associated with the trait.

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#### Value

List with the following components:

df Data frame with start locus, global p-value, simulated global p-value, and simu-

lated maximum-score p-value.

n.loci Number of loci given in the genotype matrix.

simulate Same as parameter description above.

haplo.effect The haplotype effect model parameter that was selected for haplo.score.

n.slide Same as parameter description above.locus.label Same as parameter description above.

n.val.haplo Vector containing the number of valid simulations used in the maximum-score

statistic p-value simulation. The number of valid simulations can be less than the number of simulations requested (by sim.control) if simulated data sets produce

unstable variables of the score statistics.

n.val.global Vector containing the number of valid simulations used in the global score statis-

tic p-value simulation.

#### References

Schaid DJ, Rowland CM, Tines DE, Jacobson RM, Poland GA. "Score tests for association of traits with haplotypes when linkage phase is ambiguous." Amer J Hum Genet. 70 (2002): 425-434.

#### See Also

```
haplo.score, plot.haplo.score.slide, score.sim.control
```

# Examples

```
data(hla.demo)

# Continuous trait slide by 2 loci on all 11 loci, uncomment to run it.

# Takes > 20 minutes to run

# geno.11 <- hla.demo[,-c(1:4)]

# label.11 <- c("DPB","DPA","DMA","DMB","TAP1","TAP2","DQB","DQA","DRB","B","A")

# slide.gaus <- haplo.score.slide(hla.demo$resp, geno.11, trait.type = "gaussian",

# locus.label=label.11, n.slide=2)

# print(slide.gaus)

# Run shortened example on 9 loci

# For an ordinal trait, slide by 3 loci, and simulate p-values:

# geno.9 <- hla.demo[,-c(1:6,15,16)]

# label.9 <- c("DPA","DMA","DMB","TAP1","DQB","DQA","DRB","B","A")

# y.ord <- as.numeric(hla.demo$resp.cat)

# data is set up, to run, run these lines of code on the data that was</pre>
```

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hapPower.demo

Set of haplotypes and frequencies for power and sample size calculations

## **Description**

An example set of haplotypes and frequencies for power and sample size calculations in haplo.power.cc and haplo.power.qt

#### Usage

```
data(hapPower.demo)
```

#### **Format**

A data frame with 21 observations on the following 6 variables.

```
loc.1 allele 1 in the haplotype
loc.2 allele 2 in the haplotype
loc.3 allele 3 in the haplotype
loc.4 allele 4 in the haplotype
loc.5 allele 5 in the haplotype
haplo.freq numeric, frequency of haplotype
```

#### References

Schaid, DJ. Power and sample size for testing associations of haplotypes with complex traits. *Ann Hum Genet* (2005) 70:116-130.

## **Examples**

```
data(hapPower.demo)
```

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hla.demo

HLA Loci and Serologic Response to Measles Vaccination

#### **Description**

A data frame with genotypes at eleven HLA-region loci genotyped for 220 subjects, phase not known. Contains measles vaccination response with covariate data.

#### Usage

```
data(hla.demo)
```

#### **Format**

A data frame with 220 observations on the following 26 variables.

resp numeric, Quantitative response to Measles Vaccination

resp.cat Category of vaccination response, a factor with levels high low normal

male numeric, indicator of gener, 1=male, 0=female

age numeric, subject's age

DPB.a1 first allele of genotype

DPB.a2 second allele of genotype

DPA.a1 first allele of genotype

DPA.a2 second allele of genotype

DMA.a1 first allele of genotype

DMA.a2 second allele of genotype

DMB.a1 first allele of genotype

DMB.a2 second allele of genotype

TAP1.a1 first allele of genotype

TAP1.a2 second allele of genotype

TAP2.a1 first allele of genotype

TAP2.a2 second allele of genotype

DQB.a1 first allele of genotype

DQB.a2 second allele of genotype

DQA.a1 first allele of genotype

DQA.a2 second allele of genotype

DRB.a1 first allele of genotype

DRB.a2 second allele of genotype

B.a1 first allele of genotype

B.a2 second allele of genotype

A.a1 first allele of genotype

A.a2 second allele of genotype

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#### Source

Data set kindly provided by Gregory A. Poland, M.D. and the Mayo Clinic Vaccine Research Group for illustration only, and my not be used for publication.

#### References

Schaid DJ, Rowland CM, Tines DE, Jacobson RM, Poland GA. "Score tests for association of traits with haplotypes when linkage phase is ambiguous." Amer J Hum Genet. 70 (2002): 425-434.

## **Examples**

data(hla.demo)

locator.haplo

Find Location from Mouse Clicks and Print Haplotypes on Plot

# Description

Much like the R/Splus locator function is used to find x-y coordinates on a plot. Find all x-y coordinates that are chosen by the user's mouse clicks. Then print haplotype labels at the chosen positions.

## Usage

```
locator.haplo(obj)
```

#### **Arguments**

obj

An object (of class haplo.score) that is returned from haplo.score.

#### **Details**

After plotting the results in obj, as from plot(obj), the function locator.haplo is used to place on the plot the text strings for haplotypes of interest. After the function call (e.g., locator.haplo(obj)), the user can click, with the left mouse button, on as many points in the plot as desired. Then, clicking with the middle mouse button will cause the haplotypes to be printed on the plot. The format of a haplotype is "a:b:c", where a, b, and c are alleles, and the separator ":" is used to separate alleles on a haplotype. The algorithm chooses the closest point that the user clicks on, and prints the haplotype either above the point (for points on the lower-half of the plot) or below the point (for points in the upper-half of the plot).

#### Value

List with the following components:

x.coordvector of x-coordinates.y.coordVector of y-coordinates.

hap.txt Vector of character strings for haplotypes.

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## See Also

```
haplo.score
```

## **Examples**

```
# follow the pseudo-code
# score.out <- haplo.score(y, geno, trait.type = "gaussian")
# plot(score.out)
# locator.haplo(score.out)</pre>
```

locus

Creates an object of class "locus"

# Description

Creates an object containing genotypes for multiple individuals. The object can then use method functions developed for objects of class "locus".

# Usage

# Arguments

| allele1  | A vector containing the labels for 1 allele for a set of individuals, or optionally a matrix with 2 columns each containing an allele for each person.                      |  |
|--|---|--|
| allele2  | A vector containing the labels for the second allele for a set of individuals. If allele 1 is a matrix, allele 2 need not be specified.                                     |  |
| chrom.label  | A label describing the chromosome the alleles belong to   |  |
| locus.alias  | A vector containing one or more aliases describing the locus. The first alias the vector will be used as a label for printing in some functions such as multil cus.print(). |  |
| x.linked   | A logical value denoting whether the chromosome is x linked   |  |
| sex  | A vector containing the gender of each individual (required if x.linked=T)  |  |
| male.code  | The code denoting a male in the sex vector  |  |
| female.code The code denoting a female in the sex vector   |   |  |
| a vector of codes denoting missing values for allele1 and allele2. Note the will always be treated as a missing value, even if not specified in miss.valuet note that if multiple missing value codes are specified, the original missing code for a specific individual can not be retrieved from the locus object. |   |  |

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#### Value

Returns an object of class locus which inherits from class model.matrix containing the following elements:

geno a matrix with 2 columns where each row contains numeric codes for the 2 alleles

for an individual.

chrom.label a chromosome label

locus.alias a vector of aliases for the locus

x.linked a logical value specifying if the locus is x-linked or not

allele.labels a vector of labels corresponding to the numeric codes in matrix geno (similar to

levels in a factor)

male.code a code to be used to identify males for an x.linked locus. female.code a code to be used to identify females for an x.linked locus.

# **Examples**

```
b1 <- c("A", "A", "B", "C", "E", "D")
b2 <- c("A", "A", "C", "E", "F", "G")
loc1 <- locus(b1,b2,chrom=4,locus.alias="D4S1111")

loc1

# a second example which uses more parameters, some may not be supported.
c1 <- c(101,10, 112,112,21,112)
c2 <- c(101,101,112, 100,21, 10)

gender <- rep(c("M", "F"),3)
loc2 <- locus(c1,c2,chrom="X",locus.alias="DXS1234", x.linked=TRUE, sex=gender)
loc2
```

louis.info

Louis Information for haplo.glm

#### **Description**

For internal use within the haplo.stats library's haplo.glm function

## Usage

```
louis.info(fit, epsilon=1e-8)
```

# **Arguments**

fit glm fitted object

epsilon cut-off for singular values in the generalized inverse of the information matrix

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na.geno.keep

Remove rows with NA in covariates, but keep genotypes with NAs

# Description

Removes rows with NA in response or covariates, but keeps subjects with NAs in their genotypes if not missing all alleles.

#### Usage

```
na.geno.keep(m)
```

#### **Arguments**

m

model matrix

#### Value

a model matrix with rows removed if exclusion criteria requires it

plot.haplo.score

Plot Haplotype Frequencies versus Haplotype Score Statistics

# Description

Method function to plot a class of type haplo.score

#### Usage

```
## S3 method for class 'haplo.score' plot(x, ...)
```

## **Arguments**

x The object returned from haplo.score (which has class haplo.score).

... Dynamic parameter for the values of additional parameters for the plot method.

#### **Details**

This is a plot method function used to plot haplotype frequencies on the x-axis and haplotype-specific scores on the y-axis. Because haplo.score is a class, the generic plot function can be used, which in turn calls this plot.haplo.score function.

#### Value

Nothing is returned.

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#### References

Schaid DJ, Rowland CM, Tines DE, Jacobson RM, Poland GA. "Score tests for association of traits with haplotypes when linkage phase is ambiguous." Amer J Hum Genet. 70 (2002): 425-434.

#### See Also

haplo.score

#### **Examples**

plot.haplo.score.slide

Plot a haplo.score.slide Object

#### **Description**

Method function to plot an object of class haplo.score.slide. The p-values from haplo.score.slide are for sub-haplotypes of a larger chromosomal region, and these are plotted to visualize the change in p-values as the sub-haplotype "slides" over a chromosome. Plot -log10(p-value) on the y-axis vs. the loci over which it was computed on the x-axis.

#### **Usage**

```
## S3 method for class 'haplo.score.slide'
plot(x, pval="global", dist.vec=1:x$n.loci, ...)
```

# Arguments

Χ.

The object returned from haplo.score.slide

pval

Character string for the choice of p-value to plot. Options are: "global" (the global score statistic p-value based on an asymptotic chi-square distribution), "global.sim" (the global score statistic simulated p-value), and "max.sim" (the simulated p-value for the maximum score statistic).

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Numeric vector for position (i.e., in cM) of the loci along a chromosome. Distances on x-axis will correspond to these positions.

Dynamic parameter for the values of additional parameters for the plot method. Some useful options for manageing the x-axis labels are cex.axis, las, and srt.

#### **Details**

The x-axis has tick marks for all loci. The y-axis is the -log10() of the selected p-value. For each haplo.score result, plot a horizontal line at the height of -log10(p-value) drawn across the loci over which it was calculated. Therefore a p-value of 0.001 for the first 3 loci will plot as a horizontal line plotted at y=3 covering the first three tick marks. If the p-value for a set of loci is zero or very near zero, it is set to a minimum. Global asymptotic p-values of zero are set to the minimum of an epsilon or the lowest non-zero p-value in the region. Simulated p-values equal to zero are set to 0.5 divided by the total number of simulations performed.

#### Value

Nothing is returned.

#### References

Schaid DJ, Rowland CM, Tines DE, Jacobson RM, Poland GA. "Score tests for association of traits with haplotypes when linkage phase is ambiguous." Amer J Hum Genet. 70 (2002): 425-434.

#### See Also

```
haplo.score.slide
```

# Examples

```
# This example has a long run-time, therefore it is commented
    data(hla.demo)
#
   attach(hla.demo)
    geno.11 \leftarrow hla.demo[,-c(1:4)]
    label.11 <- c("DPB", "DPA", "DMA", "DMB", "TAP1", "TAP2", "DQB", "DQA", "DRB", "B", "A")
#For an ordinal trait, slide by 3 loci, and simulate p-values:
    y.ord <- as.numeric(resp.cat)</pre>
    slide.ord.sim <- haplo.score.slide(y.ord, geno.11, trait.type = "ordinal",</pre>
#
                                n.slide=3, locus.label=label.11, simulate=TRUE,
#
                                 sim.control=score.sim.control(min.sim=500))
   print(slide.ord.sim)
   plot(slide.ord.sim)
#
   plot(slide.ord.sim, pval="global.sim", las=2, cex.axis=.8)
   plot(slide.ord.sim, pval="max.sim", srt=90, cex.axis=.8)
```

plot.seqhap 53

#### **Description**

Method to plot an object of class seqhap. The p-values at each locus are based on sequentially combined loci, and they are plotted to visualize the p-values when scanning each locus using seqhap methods. Plots -log10(p-value) on the y-axis vs. the loci over which it was computed on the x-axis.

## Usage

```
## S3 method for class 'seqhap'
plot(x, pval="hap", single=TRUE,
minp=.Machine$double.eps, ...)
```

#### **Arguments**

| X      | The object returned from seqhap  |
|--------|--|
| pval   | Character string for the choice of p-value to plot. Options are: "hap" (sequential haplotype asymptotic p-value), "hap.sim" (sequential haplotype simulated p-value), "sum" (sequential summary asymptotic p-value), and "sum.sim" (sequential summary simulated p-value).                                 |
| single | Logical, indicating whether to plot p-values for single-locus association tests. If TRUE, the pointwise p-values from the single-locus will be plotted using a dotted line.  |
| minp   | Smallest "allowable" p-value; any p-value smaller will be set to log10(minp). The default is the value closest to zero that can be represented in Splus/R.   |
| •••    | Dynamic parameter for the values of additional parameters for the plot method. Accept the ylim parameter for plot() and other parameters for lines(), points(), and axis(). Recommended values to make locus labels vertical on the x-axis: for R: las=2, cex.axis=1.2 for S+: srt=90, cex.axis=1.2, adj=1 |

# Details

The x-axis has tick marks for all loci. The y-axis is the -log10() of the selected p-value. For the sequential result for each locus, a horizontal line at the height of -log10(p-value) is drawn across the loci combined. The start locus is indicated by a filled triangle and other loci combined with the start locus are indicated by an asterisk or circle.

If the permutation p-value is zero, for plotting purposes it is set to 1/(n.sim+1).

#### Value

Nothing is returned.

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#### References

Yu Z, Schaid DJ. (2007) Sequential haplotype scan methods for association analysis. Genet Epidemiol, in print.

#### See Also

```
seqhap, print.seqhap
```

# **Examples**

```
## Not run:
    data(seqhap.dat)
    mydata.y <- seqhap.dat[,1]
    mydata.x <- seqhap.dat[,-1]
    data(seqhap.pos)
    myobj <- seqhap(y=mydata.y, geno=mydata.x, pos=seqhap.pos$pos)
    plot(myobj)
## End(Not run)</pre>
```

print.haplo.cc

Print a haplo.cc object

# Description

Display results for a haplotype analysis on a case-control study.

## Usage

```
## S3 method for class 'haplo.cc'
print(x, order.by=c("score","haplotype","freq"), digits=max(options()$digits-2, 5),
    nlines=NULL, ...)
```

## **Arguments**

| x        | A haplo.cc object, made by the haplo.cc function.   |
|----------|---|
| order.by | Order the printed data frame by the column: haplotype score (score), haplotype alleles (haplotype), or haplotype frequency (freq).  |
| digits   | Number of digits to display for the numeric columns of the data frame.  |
| nlines   | leles (haplotype), or haplotype frequency (freq).  umber of digits to display for the numeric columns of the data frame.  int the first nlines of the cc.df data frame of the haplo.cc object, keeps output ort if desired. |
|          | Dynamic parameter for the values of additional parameters for the print method.   |

## Value

Nothing is returned.

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## See Also

```
haplo.cc
```

## **Examples**

```
## for a haplo.cc object named cc.test,
## order results by haplotype
# print.haplo.cc(cc.test, order.by="haplotype")
```

print.haplo.em

Print contents of a haplo.em object

# Description

Print a data frame with haplotypes and their frequencies. Likelihood information is also printed.

# Usage

```
## S3 method for class 'haplo.em'
print(x, digits=max(options()$digits-2, 5), nlines=NULL, ...)
```

## **Arguments**

| Х      | A haplo.em object   |
|--------|---|
| digits | number of significant digits to print for numeric values                  |
| nlines | To shorten output, print the first 1:nlines rows of the large data frame. |
|        | optional arguments for print  |

#### Value

Nothing is returned

#### See Also

```
haplo.em
```

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| print.haplo.group | Print a haplo.group object |
|-------------------|----------------------------|
| princinapio.group | Trini a napio.group object |

# Description

Method function to print a class of type haplo.group

## Usage

```
## S3 method for class 'haplo.group'
print(x, digits=max(options()$digits-2, 5), nlines=NULL, ...)
```

# Arguments

| X      | The object returned from haplo.group (which has old class haplo.group).    |
|--------|--|
| digits | Set the number of significant digits to print for haplotype probabilities. |
| nlines | For shorter output, print first 1:nlines rows of the large data frame      |
|        | Optional arguments for the print method                                    |

#### **Details**

This is a print method function used to print information from the haplo.group class, with haplotype-specific information given in a table. Because haplo.group is a class, the generic print function can be used, which in turn calls this print.haplo.group function.

## Value

Nothing is returned.

#### References

Schaid DJ, Rowland CM, Tines DE, Jacobson RM, Poland GA. Expected haplotype frequencies for association of traits with haplotypes when linkage phase is ambiguous. Submitted to Amer J Hum Genet.

# See Also

haplo.score, haplo.group, haplo.em

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print.haplo.scan

Print a haplo.scan object

# Description

Print a haplo.scan object

## Usage

```
## S3 method for class 'haplo.scan'
print(x, digits=max(options()$digits - 2, 5), ...)
```

## **Arguments**

x An object created by haplo.scan

digits Significant digits shown for numeric data
... Options parameters for the print function

#### Value

**NULL** 

#### See Also

haplo.scan

print.haplo.score

Print a haplo.score object

## **Description**

Method function to print a class of type haplo.score

### Usage

```
## S3 method for class 'haplo.score'
print(x, digits, nlines=NULL, ...)
```

## **Arguments**

| X | The object returned from I | naplo.score | (which has | class haplo.score). |
|---|----------------------------|-------------|------------|---------------------|
|   |                            |             |            |                     |

digits Number of digits to round the numeric output.

nlines Print the first 'nlines' rows of the large data frame for fast, short view of the

results.

... Dynamic parameter for the values of additional parameters for the print method.

#### **Details**

This is a print method function used to print information from haplo.score class, with haplotype-specific information given in a table. Because haplo.score is a class, the generic print function can be used, which in turn calls this print.haplo.score function.

#### Value

If print is assigned, the object contains the table of haplotype scores that was printed by the method

#### See Also

haplo.score

```
print.haplo.score.merge
```

Print a haplo.score.merge object

## **Description**

Method function to print a class of type haplo.score.merge

#### Usage

## Arguments

| X        | The object returned from haplo.score.merge (which has old class {S} haplo.score.merge).  |
|----------|--|
| order.by | Column of the haplo.score.merge object by which to order the results   |
| all.haps | Logical, if (T)rue prints a row for all haplotypes. If (F)alse, the default, only prints the haplotypes kept in haplo.score for modelling. |
| digits   | Set the number of significant digits to print for the numeric output.  |
| nlines   | Print the first 'nlines' rows of the large data frame for a short view of the results.   |
|          | Dynamic parameter for the values of additional parameters for the print method.  |
|          |  |

#### **Details**

This is a print method function used to print information from the haplo.score.merge class. Because haplo.score.merge is a class, the generic print function can be used, which in turn calls this print.haplo.score.merge function.

#### Value

Nothing is returned.

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#### References

Schaid DJ, Rowland CM, Tines DE, Jacobson RM, Poland GA. Expected haplotype frequencies for association of traits with haplotypes when linkage phase is ambiguous. Submitted to Amer J Hum Genet.

#### See Also

haplo.score.merge, haplo.score, haplo.group

## **Examples**

```
#see example for haplo.score.merge
```

```
print.haplo.score.slide
```

Print the contents of a haplo.score.slide object

## **Description**

Print the data frame returned from haplo.score.slide

# Usage

```
## S3 method for class 'haplo.score.slide'
print(x, digits=max(options()$digits - 2, 5), ...)
```

#### **Arguments**

| х      | A haplo.score.slide object                   |
|--------|--|
| digits | Number of digits to print for numeric output |
|        | Optional arguments for the print method      |

printBanner

Print a nice banner

# Description

Print a centered banner that carries to multiple lines

# Usage

```
printBanner(str, banner.width=options()$width, char.perline=.75*banner.width, border="=")
```

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## **Arguments**

str character string - a title within the banner

banner.width width of banner, the default is set to fit current options

char.perline number of characters per line for the title, the default is 75% of the banner.width

parameter

border type of character for the border

#### **Details**

This function prints a nice banner in both R and S-PLUS

#### Value

nothing is returned

#### See Also

options

#### **Examples**

residuals.haplo.glm

Accessing residuals for haplo.glm fit

#### **Description**

Access the residuals from a haplo.glm model fit

#### Usage

# **Arguments**

object A haplo.glm object

type Type of residuals to return. Options are "deviance" (default), "pearson", "work-

ing", and "response". Partial residuals not supported in this method.

... Optional arguments

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#### **Details**

Many of the subjects in a haplo.glm fit are expanded in the model matrix with weights used to reflect the posterior probability of the subject's haplotype pairs given their genotype. The working residuals within the fitted object are from this expanded model matrix, and the residuals in this method are calculated from the weighted fitted value for the subject across all their haplotype pairs.

#### Value

Residuals for each person in the model.

#### See Also

haplo.glm, residuals.glm, fitted.haplo.glm

score.sim.control

Create the list of control parameters for simulations in haplo.score

## **Description**

In the call to haplo.score, the sim.control parameter is a list of parameters that control the simulations. This list is created by this function, score.sim.control, making it easy to change the default values.

## Usage

score.sim.control(p.threshold=0.25, min.sim=1000, max.sim=20000.,verbose=FALSE)

#### **Arguments**

| p.threshold | A paremeter used to determine p-value precision from Besag and Clifford (1991). For a p-value calculated after min.sim simulations, continue doing simulations until the p-value's sample standard error is less than p.threshold * p-value. The dafault value for p.threshold = 1/4 corresponds approximately to having a two-sided 95% confidence interval for the p-value with a width as wide as the p-value itself. Therefore, simulations are more precise for smaller p-values. Additionally, since simulations are stopped as soon as this criteria is met, p-values may be biased high. |
|-------------|--|
| min.sim     | The minimum number of simulations to run. To run exactly min.sim simulations, set max.sim = min.sim. Also, if run-time is an issue, a lower minimum (e.g. 500) may be useful, especially when doing simulations in haplo.score.slide.  |
| max.sim     | The upper limit of simulations allowed. When the number of simulations reaches max.sim, p-values are approximated based on simulation results at that time.  |
| verbose     | Logical, if (T)rue, print updates from every simulation to the screen. If (F)alse, do not print these details.   |

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#### **Details**

In simulations for haplo.score, employ the simulation p-value precision criteria of Besag and Clifford (1991). The criteria ensures both the global and the maximum score statistic simulated p-values be precise for small p-values. First, perform min.sim simulations to guarantee sufficient precision for the score statistics on individual haplotypes. Then continue simulations as needed until simulated p-values for both the global and max score statistics meet precision requirements set by p.threshold.

#### Value

A list of the control parameters:

| p.threshold | As described above  |
|-------------|---------------------|
| min.sim     | As described above. |
| max.sim     | As described above  |
| verbose     | As described above  |

#### References

Besag, J and Clifford, P. "Sequential Monte Carlo p-values." Biometrika. 78, no. 2 (1991): 301-304.

#### See Also

```
haplo.score
```

#### **Examples**

```
seqhap Sequential Haplotype Scan Association Analysis for Case-Control
Data
```

## Description

Seqhap implements sequential haplotype scan methods to perform association analyses for case-control data. When evaluating each locus, loci that contribute additional information to haplotype associations with disease status will be added sequentially. This conditional evaluation is based on the Mantel-Haenszel (MH) test. Two sequential methods are provided, a sequential haplotype method and a sequential summary method, as well as results based on the traditional single-locus method. Currently, seqhap only works with bialleleic loci (single nucleotide polymorphisms, or SNPs) and binary traits.

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#### Usage

#### **Arguments**

y vector of binary response (1=case, 0=control). The length is equal to the number

of rows in geno.

geno matrix of alleles, such that each locus has a pair of adjacent columns of alleles,

and the order of columns corresponds to the order of loci on a chromosome. If there are K loci, then ncol(geno)=2\*K. Rows represent the alleles for each

subject. Currently, only bi-allelic loci (SNPs) are allowed.

pos vector of physical positions (or relative physical positions) for loci. If there are

K loci, length(pos)=K. The scale (in kb, bp, or etc.) doesn't affect the results.

locus.label vector of labels for the set of loci

weight weights for observations (rows of geno matrix).

mh.threshold threshold for the Mantel-Haenszel statistic that evaluates whether a locus con-

tributes additional information of haplotype association to disease, conditional on current haplotypes. The default is 3.84, which is the 95th percentile of the

chi-square distribution with 1 degree of freedom.

r2.threshold threshold for a locus to be skipped. When scanning locus k, loci with correla-

tions r-squared (the square of the Pearson's correlation) greater than r2.threshold with locus k will be ignored, so that the haplotype growing process continues

for markers that are further away from locus k.

haplo.freq.min the minimum haplotype frequency for a haplotype to be included in the associa-

tion tests. The haplotype frequency is based on the EM algorithm that estimates

haplotype frequencies independent of trait.

miss.val vector of values that represent missing alleles.

sim.control A list of control parameters to determine how simulations are performed for

permutation p-values, similar to the strategy in haplo.score. The list is created by the function score.sim.control and the default values of this function can be changed as desired. Permutations are performed until a p.threshold accuracy rate is met for the three region-based p-values calculated in seqhap. See

score.sim.control for details.

control A list of parameters that control the EM algorithm for estimating haplotype fre-

quencies when phase is unknown. The list is created by the function haplo.em.control

- see this function for more details.

x a seqhap object to print

digits Number of significant digits to print for numeric values

... Additional parameters for the print method

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## **Details**

No further details

#### Value

list with components:

| converge     | indicator of convergence of the EM algorithm (see haplo.em); $1 = \text{converge}$ , $0 = \text{failed}$  |
|--------------|---|
| locus.label  | vector of labels for loci   |
| pos          | chromosome positions for loci, same as input.   |
| n.sim        | number of permutations performed for emperical p-values   |
| inlist       | matrix that shows which loci are combined for association analysis in the sequential scan. The non-zero values of the kth row of inlist are the indices of the loci combined when scanning locus k. |
| chi.stat     | chi-square statistics of single-locus analysis.   |
| chi.p.point  | permuted pointwise p-values of single-locus analysis.   |
| chi.p.region | permuted regional p-value of single-locus analysis.   |
| hap.stat     | chi-square statistics of sequential haplotype analysis.   |
| hap.df       | degrees of freedom of sequential haplotype analysis.  |
| hap.p.point  | permuted pointwise p-values of sequential haplotype analysis.   |
| hap.p.region | permuted region p-value of sequential haplotype analysis.   |
| sum.stat     | chi-square statistics of sequential summary analysis.   |
| sum.df       | degrees of freedom of sequential summary analysis.  |

## References

sum.p.point
sum.p.region

Yu Z, Schaid DJ. (2007) Sequential haplotype scan methods for association analysis. Genet Epidemiol, in print.

permuted pointwise p-values of sequential summary analysis.

permuted regional p-value of sequential summary analysis.

#### See Also

```
haplo.em, print.seqhap, plot.seqhap, score.sim.control
```

# **Examples**

```
# load example data with response and genotypes.
data(seqhap.dat)
mydata.y <- seqhap.dat[,1]
mydata.x <- seqhap.dat[,-1]
# load positions
data(seqhap.pos)
pos <- seqhap.pos$pos</pre>
```

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```
# run seqhap with default settings
## Not run:
    # this example takes 5-10 seconds to run
    myobj <- seqhap(y=mydata.y, geno=mydata.x, pos=pos)
    print.seqhap(myobj)
## End(Not run)</pre>
```

seqhap.dat

Simulated data for seghap examples

# Description

Simulated data set for the demonstration of seqhap functionality. Contains one column for disease status and columns representing 10 SNP loci with a known association. seqhap.pos contains a column for chromosome position, as required by seqhap.

## Usage

```
data(seqhap.dat)
data(seqhap.pos)
```

#### **Format**

A data frame with 1000 observations on the following 21 variables.

disease numeric, indicator of disease status 0=no, 1=yes

- m1.1 first allele of genotype
- m1.2 second allele of genotype
- m2.1 first allele of genotype
- m2.2 second allele of genotype
- m3.1 first allele of genotype
- m3.2 second allele of genotype
- m4.1 first allele of genotype
- m4.2 second allele of genotype
- m5.1 first allele of genotype
- m5.2 second allele of genotype
- m6.1 first allele of genotype
- m6.2 second allele of genotype
- m7.1 first allele of genotype
- m7.2 second allele of genotype
- m8.1 first allele of genotype
- m8.2 second allele of genotype

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- m9.1 first allele of genotype
- m9.2 second allele of genotype
- m10.1 first allele of genotype
- m10.2 second allele of genotype

#### References

Yu Z, Schaid DJ (2007) Sequantial haplotype scan methods for association analysis. *Gen Epi*, in print.

# **Examples**

data(seqhap.dat)

| setupGeno | Create a group of locus objects from a genotype matrix, assign to 'model.matrix' class. |
|-----------|---|
|           |   |

#### **Description**

The function makes each pair of columns a locus object, which recodes alleles to numeric and saves the original alleles as an attribute of the model.matrix.

## Usage

```
setupGeno(geno, miss.val=c(0,NA), locus.label=NULL)
```

# Arguments

| geno        | Matrix of alleles, such that each locus has a pair of adjacent columns of alleles, and the order of columns corresponds to the order of loci on a chromosome. If there are K loci, then $ncol(geno) = 2*K$ . Rows represent alleles for each subject.  |
|-------------|--|
| miss.val    | A vector of codes denoting missing values for allele1 and allele2. Note that NA will always be treated as a missing value, even if not specified in miss.val. Also note that if multiple missing value codes are specified, the original missing value code for a specific individual can not be retrieved from the loci object. |
| locus.label | vector of labels for the loci  |

#### **Details**

This function contains the essential parts of the loci function, which is no longer within haplo.stats

## Value

A 'model.matrix' object with the alleles recoded to numeric values, and the original values are stored in the 'unique.alleles' attribute. The ith item of the unique.alleles list is a vector of unique alleles for the ith locus.

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## Note

A matrix that contains all elements of mode character will be sorted in alphabetic order. This order may differ across platforms according to your setting of LC\_COLLATE. See the note in haplo.em about how this sort order affects results.

#### See Also

```
locus, haplo.glm, haplo.em
```

## **Examples**

```
# Create some loci to work with
a1 <- 1:6
a2 <- 7:12
b1 <- c("A","A","B","C","E","D")
b2 <-c("A","A","C","E","F","G")
c1 <- c("101","10","115","132","21","112")
c2 <- c("100","101","0","100","21","110")
myGeno <- data.frame(a1,a2,b1,b2,c1,c2)
myGeno <- setupGeno(myGeno)
myGeno
attributes(myGeno)$unique.alleles
```

summary.haplo.em

Summarize contents of a haplo.em object

# Description

Display haplotype pairs and their posterior probabilities by subject. Also display a table with number of max haplotype pairs for a subject versus how many were kept (max vs. used).

## Usage

```
## S3 method for class 'haplo.em'
summary(object, show.haplo=FALSE, digits=max(options()$digits-2, 5), nlines=NULL, ...)
```

#### **Arguments**

| object     | A haplo.em object  |
|------------|--|
| show.haplo | Logical. If TRUE, show the alleles of the haplotype pairs, otherwise show only the recoded values. |
| digits     | number of significant digits to be printed for numeric values                                      |
| nlines     | To shorten output, print the first 1:nlines rows of the large data frame.                          |
|            | Optional arguments for the summary method  |

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#### Value

A data frame with a row for every subject's possible haplotype pairs and the posterior probabilities of that pair given their genotypes.

# See Also

```
haplo.em
```

# **Examples**

```
data(hla.demo)
geno <- hla.demo[,c(17,18,21:24)]
label <-c("DQB","DRB","B")
keep <- !apply(is.na(geno) | geno==0, 1, any)

save.em.keep <- haplo.em(geno=geno[keep,], locus.label=label)
save.df <- summary(save.em.keep)
save.df[1:10,]</pre>
```

summary.haplo.glm

Print and summary of a haplo.glm object

# Description

Do print and summary as in regular glm, then display extra information on haplotypes used in the model fit

## Usage

#### **Arguments**

| Х              | A haplo.glm object   |
|----------------|--|
| object         | A haplo.glm object   |
| show.all.haplo | Logical. If TRUE, print all haplotypes considered in the model.  |
| show.missing   | Logical. If TRUE, print number of rows removed because of missing values (NA) in y or x-covariates, or all alleles missing in geno |
| digits         | Number of numeric digits to print.   |
|                | Optional arguments for summary method  |

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#### **Details**

Uses print.glm for the first section, then prints information on the haplotypes.

#### Value

If print is assigned, the object contains a list with the coefficient and haplotype data.frames which are printed by the method.

#### See Also

haplo.glm

summaryGeno

Summarize Full Haplotype Enumeration on Genotype Matrix

# Description

Provide a summary of missing allele information for each individual in the genotype matrix. The number of loci missing zero, one, or two alleles is computed, as well as the total number of haplotype pairs that could result from the observed phenotype.

#### Usage

```
summaryGeno(geno, miss.val=0)
```

#### **Arguments**

geno Matrix of alleles, such that each locus has a pair of adjacent columns of alleles,

and the order of columns corresponds to the order of loci on a chromosome. If there are K loci, then geno has 2\*K columns. Rows represent all observed

alleles for each subject.

miss.val Vector of codes for allele missing values.

#### **Details**

After getting information on the individual loci, this function makes a call to geno.count.pairs(). The E-M steps to estimate haplotype frequencies considers haplotypes that could result from a phenotype with a missing allele. It will not remove a subject's phenotype, only the unlikely haplotypes that result from it.

### Value

Data frame with columns representing the number of loci with zero, one, and two missing alleles, then the total haplotype pairs resulting from full enumeration of the phenotype.

#### See Also

```
geno.count.pairs, haplo.em
```

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| vcov.haplo.glm | vcov. | haplo. | .glm |
|----------------|-------|--------|------|
|----------------|-------|--------|------|

variance-covariance matrix of a fitted haplo.glm object

# Description

Returns the variance-covariance matrix of the main parameters of a fitted haplo.glm object

## Usage

```
## S3 method for class 'haplo.glm'
vcov(object, freq=TRUE, ...)
```

## **Arguments**

object A haplo.glm object

freq Logical. If TRUE, return the full covariance matrix including the entries for the

frequency parameters

... Optional arguments for print method

#### **Details**

var.mat is pre-computed in haplo.glm, the generalized inverse of the Louis information matrix

#### Value

Variance-covariance matrix of model parameters

#### See Also

```
haplo.glm
```

x.sexcheck

consistency checks for x.linked locus

## Description

Given an x.linked locus object and a vector of gender codes, the function will check to make sure the gender codes match the codes used to originally define the locus, and that no individuals defined as males are heterozygous.

# Usage

```
x.sexcheck(x, sex, stop=FALSE)
```

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# Arguments

| Х    | an object of class locus  |
|------|---|
| sex  | a vector of codes identifying the gender of each individual contained in the locus object |
| stop | if T, any warnings are converted to errors and execution is halted immediately            |

## Value

T if one or more errors were found F if no errors were found

## See Also

locus

# Examples

```
c1 <- c(101,10, 112,112,21,112)
c2 <- c(101,101,112,100,21, 10)

gender <- rep(c("M","F"),3)
loc2 <- locus(c1,c2,chrom="X",locus.alias="DXS1234", x.linked=TRUE, sex=gender)
loc2</pre>
```

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